P/ IT COOPERATION TREAT

	From the INTERNATIONAL BUREAU				
PCT	То:				
NOTIFICATION OF ELECTION (PCT Rule 61.2)	United States Patent and Trademark Office (Box PCT) Crystal Plaza 2 Washington, DC 20231 ETATS-UNIS D'AMERIQUE				
Date of mailing: 23 April 1998 (23.04.98)	in its capacity as elected Office				
International application No.: PCT/EP97/04744	Applicant's or agent's file reference: 15258P WO				
International filing date: 01 September 1997 (01.09.97)	Priority date: 11 October 1996 (11.10.96)				
Applicant: MEYER, Thomas, F. et al	·				
in a notice effecting later election filed with the l 2. The election X was was not	nternational Bureau on: ority date or, where Rule 32 applies, within the time limit under				
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer: J. Zahra				
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38 2001044				

REC'D 27 JAN 1999

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant	's or ag	ent's file reference	EOR SUBTUE		Con Notification (T			
15258P WO		FOR FURTHE		See Notification of Transmittal of International Preliminary Examination Report (PCT/IPEA/416)				
		lication No.	International filing date	(day/month/year)	Priority date (day/month/year)			
PCT/EF		ent Classification (IPC) or n	01/09/1997	11/10/1996				
Applicant MAX-PL 1. This and i	ANCK	GESELLSCHAFT	et al. nination report has bee according to Article 36	en prepared by thi	s International Preliminary Examining Authority			
⊠ ` !	This re which l pefore	port is also accompanie	ed by ANNEXES, i.e., d are the basis for this 70.16 and Section 60	sheets of the des	cription, claims and/or drawings eets containing rectifications made rative Instructions under the PCT).			
3. This r	eport o	ontains indications rela	ting to the following ite	oms:				
	×	Basis of the report						
		Priority		·				
III		Non-establishment of	opinion with regard to	rd to novelty, inventive step and industrial applicability				
IV	⊠	Lack of unity of invent	ion					
V	×		ene cappoining such s	n regard to novelt statement	y, inventive step or industrial applicability;			
VI		Certain documents cite						
VII		Certain defects in the i						
VIII	⊠	Certain observations o	n the international app	olication				
ate of subr	nission	of the demand		Date of completion	n of this report			
7/03/1998					2 5. 01. 99			
ame and m	ailing a	ddress of the IPEA/		Authorized officer	:DESA.			
<u>a</u>))	D-802 Tel. (-	ean Patent Office 198 Munich -49-89) 2399-0, Tx: 523656 +49-89) 2399-4465	6 epmu d	Moonen, P	The second secon			
PCT/IPE		Cover shoot) (Innue - 100		Telephone No. (+4	13-63) 2399-8538			



International application No. PCT/EP97/04744

1.	Basis	٥f	the	report
•	D4515	OI.	uie	report

1.	 This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.): 											
	D	escription, pages:										
	1-48 as originally filed											
	CI	aims, No.:										
	2-	16	as received on	06/08/1998	with letter of	06/08/1998						
	1		as received on	08/01/1999	with letter of	08/01/1999						
	Dr	awings, sheets:										
	1/6	5-6/6	as originally filed									
2.	Th	e amendments have	resulted in the cancellation of:									
		the description,	pages:									
		the claims,	Nos.:									
		the drawings,	sheets:									
3.		This report has be considered to go b	en established as if (some of) the eyond the disclosure as filed (Re	e amendment ule 70.2(c)):	s had not been made	, since they have been						
4.	Ado	litional observations	, if necessary:									
IV. I	Lac	k of unity of invent	lion									
1. 1	n re	esponse to the invita	tion to restrict or pay additional f	ees the applic	cant has:							
C		restricted the claims	s.									
(paid additional fees										
(paid additional fees	under protest.									

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP97/04744

	Ø	neither restricted nor paid additional fees.										
2	. 🗆	This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.										
3	. Thi	This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is										
		complied with.										
	Ø	not complied with for the	ne follov	ving reaso	ons:							
		see separate sheet			·							
4.	Cor exa	nsequently, the following imination in establishing	parts o this rep	of the inter port:	mational application were the subject of international preliminary							
		all parts.										
	×	the parts relating to cla	ims Nos	s. 1-4, 7-1	5 (partially)							
V.	Rea app	isoned statement unde licability; citations and	er Articl I explar	e 35(2) w nations s	rith regard to novelty, inventive step or industrial upporting such statement							
1.	Stat	ement										
	Nov	elty (N)	Yes: No:	Claims Claims	1-4, 7-15 (partially)							
	Inve	ntive step (IS)	Yes: No:	Claims Claims	1-4, 7-15 (partially)							
	Indu	strial applicability (IA)	Yes: No:	Claims Claims	1-4, 7-15 (partially)							
2.	Citat	ions and explanations										
	see separate sheet											

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sh et

Reference is made to the following documents:

D1: Developments Biol Stand 84 (1995) 211-219

D2: WO 93 07273

D3: WO 96 26740

D4: WO 95 02048

D5: WO 96/34624; published 07.11.96 after the present priority date; see additionally WO 94/26901, cited in the search report.

D6: Michetti et al Gastroenterology 107 (1992) 1002-1011

D7: WO 94/03615 D8: WO 94/24291

D9: Ferrero et al PNAS 92 (1995) 6499-6503

D10: Gut **37** (1995) A51; abstract 203 (Ferrero et al.)

The documents D5-D10 were not cited in the search report. Copies were available during examination,

Item IV: Lack of unity of invention.

Studies in the prior art have disclosed a number of live vaccines, providing 1. protective immunity against an infection by a pathogen (see for bacterial live vaccines D1, in particular the summary, also referring to "attenuated strains are well suited as vectors for delivery of heterologous antigenic epitopes from microorganisms such as Helicobacter pylori..."). D1 refers to the concept of recombinant attenuated microbial pathogens transformed with DNA of H. pylori, referred to in the present application.

D1 gives no hints or detailed instructions how to develop an efficacious recombinant bacterial live vaccine against Helicobacter pylori; D1 refers to the history of live bacterial vaccines. At present, D1 is therefore not considered to be an enabling disclosure, in particular in view of the last sentence of the summary of D1: "At present, the feasibility of this approach for human beings remains to be proven". Attention is however drawn to additional titles of lectures in session III, parallel to the lecture representing D1 (see the Contents Table, included as last page of the copy of D1), in particular to the titles "development of Bacillus

Calmette-Guérin as a live recombinant vaccine vehicle with reduced survival capacity" and "Salmonella as Oral Vaccine Carriers", and to the present description (bottom of page 2) mentioning "Advantage has been taken from the potent immunogenicity of live Salmonella vaccine to deliver heterologous antigens. Expression of specific antigens in attenuated Salmonella has conferred murine protection against several bacterial pathogens. The use of recombinant live vaccines, which are capable of expressing Helicobacter antigens and protecting the vaccinated animals, has not yet been described".

- 2. None of the other available prior art documents is considered to refer to the subject-matter of claim 1 concerning a recombinant attenuated bacterium. It appears therefore that the subject-matter of at least **claim 1 is novel**, but that it lacks an inventive step in view of D1 in combination with other available prior art like **D4** already referring in general to (recombinant) attenuated live **Salmonella** vaccine (see pages 8 and 9 of D4).
- 3. D2, an early patent application by Ferrero et al., has disclosed (see claim 33) as a recombinant host E. coli modified by a nucleotide sequence according to any of claims 1-12. The Helicobacter ureases, referred to in said claims 1-12, have been identified in D2 as highly relevant factors to pathogenicity, and the problem of D2 is therefore to provide means to inactivate or attenuate these enzymes (see page 2 of D2; see also D6: Michetti et al., referred to on page 1 of the present description). D2 refers also to the possibility to construct attenuated pathogens (see pages 3-4), in particular for diagnostic purposes; on page 4 first full paragraph it suggests only the use of the compositions for immunoprotection. It is therefore considered that the subject-matter of claim 4 is novel over the recombinant E coli defined in claims 33-34 of D2 to be an attenuated microbial pathogen. However, an inventive step is not recognisable on the basis of the disclosure of D1 in combination with the teaching of D2.

The later documents D9/D10 (all co-authored by Ferrero; see also D5 and WO 94/26901) concern a recombinant subunit vaccine to induce an immunoprotective response against gastric Helicobacter infection; D10 mentions e.g. a composition comprising a mixture of Helicobacter antigens consisting essentially of UreB and HspA of H.pylori, affording total protection against H felis infection (and provoking

a mucosal response, see claim 15 of D5). The inoculation scheme is however different from the present application; a specially important difference is the use of a toxic adjuvant (cholera holotoxin) in the work of Ferrero et al.

It is not considered that documents like D7 and D8 teach away from the suggestion of D1. The skilled person had no information that led away from the fact that an immune response was obtainable with an recombinant attenuated Salmonella (as suggested in D1).

In Summary: The prior art contained a teaching about immunoprotection (after oral immunization) by Helicobacter urease (documents D2/D6/D9/D10) and a suggestion about the use of a live vaccine e.g. based on Salmonella bacteria in relation to H pylori infection (see summary of D1), Salmonella referred to as an oral vaccine carrier.

- 4. The requisite unity of invention (Rule 13.1 PCT) therefore no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the following groups of dependent claims:
- i. Claim 4 and depending claims: the Helicobacter antigen being urease;
- ii. Claim 5-6 and depending claims: the antigen being a secretory polypeptide from Helicobacter like AlpA, AlpB.
- 5. Moreover, methods for the screening of useful antigens (epitopes) of pathogens are well known. The screening of the clones of a gene bank (e.g. see D3, page 21) for their ability to confer protective immunity against an infection in a mammalian host is usually carried out by screening for the presence of a particular antibody reactivity towards antigens. The direct testing for protective immunity is a lot of experimental work and appears in fact also not to have been carried as experimental results are missing in the specification.

An additional separate invention is therefore represented by the subject-matter of claim 16, as it is not so linked as to form a single general inventive concept (Rule 13.1 PCT) with the subject-matter of claims 1-15.

6. A single general inventive concept (referred to in Rule 13 PCT and the PCT Preliminary Examination Guidelines Ch.III, 7) is therefore not recognisable in the absence of a common, special technical feature, and three inventions have been identified (claim 4, 5-6 and 16, respectively). The Applicant did not pay additional fees or restrict the claims on file. The IPER is therefore be issued with respect to the first recognised invention (claim 4).

Item V: Reasoned statement under Rule 66.2(a)(ii).

7. As mentioned above it is considered that the subject-matter of **claims 1-4** is obvious to the skilled person and therefore the present application does not satisfy the criterion set forth in Article 33(3) PCT because the subject-matter of said claims does not involve an inventive step (Rule 65(1)(2) PCT).

With respect to claim 4 in so far as it contains the combination of H pylori urease expressed in recombinant attenuated Salmonella (i.e. claim referring back to claim 3 only) an inventive step is recognised, as in this case it has been demonstrated that the problem (obtaining a protective immune response protecting agains H pylori infections) is indeed solved by expression of the urease by living attenuated Salmonella (data both in the application as originally filed and in additional experimental data later filed) leading to full protection in mouse challenged with an H pylori strain.

The additional technical features of depending **claims 7-15** (as far as referring back to claim 4) are considered to be merely represent straightforward possibilities which the skilled person would select from several possibilities, in accordance with circumstances, without the exercise of inventive skill, in order to solve the problem posed. The subject-matter of said depending claims is therefore considered to be obvious to the skilled person in the absence of any demonstrated unexpected or special results.

Item VIII: Certain Observations under Article 6 PCT.

8. In conjunction with the above observation with respect to the lack of unity of invention, it is noted that Article 6 of the PCT requires that all independent claims

INTERNATIONAL PRELIMINARY International application No. PCT/EP97/04744 EXAMINATION REPORT - SEPARATE SHEET

contain the essential technical feature(s) of the invention (see also Rule 6.3(b) PCT).

The product claims like claim 4 are now limited to products for a first medical use (pharmaceutical use). This restriction with respect to claims 1-15 is not considered to introduce all the essential technical features of the invention.

A restriction to the type of expressed Helicobacter specific antigen (urease) and carrier (attenuated Salmonella) as essential technical features of the presently examined invention appears to be necessary (e.g compare US-A-5,583,038 limited to recombinant mycobacteria). All prior art relating to succesfull immunoprotection against H pylori infection comprise urease fragments (see e.g. also abstract 204 by Ohlara on the same page A51 of cited reference D10 using a H.pylori mouse model); furthermore, success in the present application with live attenuated bacterium has only been demonstrated with recombinant attenuated Salmonella (in mice), and the skilled person has no teaching or expectation that he may equally use **any** other attenuated bacterium to be equally succesfull (see also the summary of D1).

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

Patentanwält

WEICKMANN WEICKMANN PRECHTEL WEISS TIESMEYER HERZOG BÖHM LISKA & HUBER Kopemikusstrasse 9

D-81679 München ALLEMAGNE

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year)

2 5. 01. 99

Applicant's or agent's file reference 15258P WO

International application No.

PCT/EP97/04744

International filing date (day/month/year) 01/09/1997

Priority date (day/month/year) 11/10/1996

IMPORTANT NOTIFICATION

Applicant

MAX-PLANCK GESELLSCHAFT... et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

. :

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-80298 Munich

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Authorized officer

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PATENT COOPERATION TREATY

Patentanwält

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 15258P WO			FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (PCT/IPEA/416)				
International	applic	ation No.	International filing date (day/month/year,	Priority date (day/month/year)				
PCT/EP9	7/047	44	01/09/1997	11/10/1996				
International	Paten	Classification (IPC) or na	ational classification and IPC					
C07K14/2	205							
Applicant								
MAX-PLA	NCK	GESELLSCHAFT	et al.					
				this International Preliminary Examining Authority				
andis	uansi	nitted to the applicant a	according to Article 36.					
2 This B	FPOR	IT consists of a total of	8 sheets, including this cover shee	•				
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⊠ T	his rep	ort is also accompanie	ed by ANNEXES, i.e., sheets of the d	lescription, claims and/or drawings				
b	nicn n efore t	ave been amended an his Authority (see Rule	id are the basis for this report and/or a 70.16 and Section 607 of the Admir	sheets containing rectifications made nistrative Instructions under the PCT).				
These	annex	res consist of a total of	4 sheets.					
3. This re	port c	ontains indications rela	ating to the following items:					
1	☒	Basis of the report						
11		Priority						
111		Non-establishment o	opinion with regard to novelty, inventive step and industrial applicability					
IV	Ø	Lack of unity of inver	tion					
V	⊠		under Article 35(2) with regard to no ations supporting such statement	velty, inventive step or industrial applicability;				
VI		Certain documents c	ited					
VII		Certain defects in the	international application					
VIII	☒	Certain observations	on the international application					
Date of sub	missior	of the demand	Date of com	pletion of this report				
				2 5. 01. 99				
17/03/19 <u>!</u>	98							
Name and	nailing	address of the IPEA/	Authorized o	officer				
	c	and Detect Office		and the state of t				
1		opean Patent Office 0298 Munich	Moonen,					
	Tel.	(+49-89) 2399-0, Tx: 523	656 epmu d					
Fax: (+49-89) 2399-4465			! Telephone N	lo. (+49-89) 2399-8538				

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP97/04744

I.	Basis	f th	report							
1.	This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):									
	Description, pages:									
	1-48 as originally filed									
	Claims, No.:									
	2-16			as received on	06/08/19	98	with letter of	06/08/1998		
	1			as received on	08/01/19	99	with letter of	08/01/1999		
	Drawii	ngs, s	sheets:							
1/6-6/6 as originally filed										
2.	The ar	nendr	nents have	e resulted in the cancel	lation of:					
	☐ th	e des	cription,	pages:						
	☐ th	e clair	ms,	Nos.:						
	☐ th	e drav	wings,	sheets:			•			
3.				een established as if (so beyond the disclosure a				made, since they have been		
4.	Additio	nal o	bservation	s, if necessary:						
IV	. Lack (of uni	ty of inve	ntion						
1.	In resp	onse	to the invi	tation to restrict or pay	additional fees the	apı	olicant has:			

paid additional fees under protest.

☐ restricted the claims.

paid additional fees.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP97/04744

	×	neither restricted nor pa	aid addi	tional fee	s.						
2.		This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.									
3.	Thi	is Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is									
		□ complied with.									
	×	not complied with for th	e follow	ing reaso	ons:						
		see separate sheet									
4.	Cor exa	nsequently, the following mination in establishing	parts of this rep	f the inter	national application were the subject of international preliminary						
		all parts.									
	×	the parts relating to clai	ims Nos	. 1-4, 7-1	5 (partially) .						
V.	Rea app	soned statement unde licability; citations and	r Articl	e 35(2) w nations s	ith regard to novelty, inventive step or industrial upporting such statement						
1.	Stat	ement									
	Nov	elty (N)	Yes: No:	Claims Claims	1-4, 7-15 (partially)						
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-4, 7-15 (partially)						
	Indu	ıstrial applicability (IA)	Yes: No:	Claims Claims	1-4, 7-15 (partially)						
2.	Cita	tions and explanations									

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see s parat sheet

see separate sheet

EXAMINATION REPORT - SEPARATE SHEET

Reference is made to the following documents:

D1: Developments Biol Stand 84 (1995) 211-219

D2: WO 93 07273

D3: WO 96 26740

D4: WO 95 02048

D5: WO 96/34624; published 07.11.96 after the present priority date; see additionally WO 94/26901, cited in the search report.

D6: Michetti et al Gastroenterology 107 (1992) 1002-1011

D7: WO 94/03615

D8: WO 94/24291

D9: Ferrero et al PNAS **92** (1995) 6499-6503

D10: Gut **37** (1995) A51; abstract 203 (Ferrero et al.)

The documents D5-D10 were not cited in the search report. Copies were available during examination,

Item IV: Lack of unity of invention.

1. Studies in the prior art have disclosed a number of live vaccines, providing protective immunity against an infection by a pathogen (see for bacterial live vaccines D1, in particular the summary, also referring to "attenuated strains are well suited as vectors for delivery of heterologous antigenic epitopes from microorganisms such as Helicobacter pylori..."). D1 refers to the concept of recombinant attenuated microbial pathogens transformed with DNA of H. pylori, referred to in the present application.

D1 gives no hints or detailed instructions how to develop an efficacious recombinant bacterial live vaccine against Helicobacter pylori; D1 refers to the history of live bacterial vaccines. At present, D1 is therefore not considered to be an enabling disclosure, in particular in view of the last sentence of the summary of D1: "At present, the feasibility of this approach for human beings remains to be proven". Attention is however drawn to additional titles of lectures in session III, parallel to the lecture representing D1 (see the Contents Table, included as last page of the copy of D1), in particular to the titles "development of Bacillus

EXAMINATION REPORT - SEPARATE SHEET

Calmette-Guérin as a live recombinant vaccine vehicle with reduced survival capacity" and "Salmonella as Oral Vaccine Carriers", and to the present description (bottom of page 2) mentioning "Advantage has been taken from the potent immunogenicity of live Salmonella vaccine to deliver heterologous antigens. Expression of specific antigens in attenuated Salmonella has conferred murine protection against several bacterial pathogens. The use of recombinant live vaccines, which are capable of expressing Helicobacter antigens and protecting the vaccinated animals, has not yet been described".

- None of the other available prior art documents is considered to refer to the 2. subject-matter of claim 1 concerning a recombinant attenuated bacterium. It appears therefore that the subject-matter of at least claim 1 is novel, but that it lacks an inventive step in view of D1 in combination with other available prior art like D4 already referring in general to (recombinant) attenuated live Salmonella vaccine (see pages 8 and 9 of D4).
- 3. D2, an early patent application by Ferrero et al., has disclosed (see claim 33) as a recombinant host E. coli modified by a nucleotide sequence according to any of claims 1-12. The Helicobacter ureases, referred to in said claims 1-12, have been identified in D2 as highly relevant factors to pathogenicity, and the problem of D2 is therefore to provide means to inactivate or attenuate these enzymes (see page 2 of D2; see also D6: Michetti et al., referred to on page 1 of the present description). D2 refers also to the possibility to construct attenuated pathogens (see pages 3-4), in particular for diagnostic purposes; on page 4 first full paragraph it suggests only the use of the compositions for immunoprotection. It is therefore considered that the subject-matter of claim 4 is novel over the recombinant E coli defined in claims 33-34 of D2 to be an attenuated microbial pathogen. However, an inventive step is not recognisable on the basis of the disclosure of D1 in combination with the teaching of D2.

The later documents D9/D10 (all co-authored by Ferrero; see also D5 and WO 94/26901) concern a recombinant subunit vaccine to induce an immunoprotective response against gastric Helicobacter infection; D10 mentions e.g. a composition comprising a mixture of Helicobacter antigens consisting essentially of UreB and HspA of H.pylori, affording total protection against H felis infection (and provoking

a mucosal response, see claim 15 of D5). The inoculation scheme is however different from the present application; a specially important difference is the use of a toxic adjuvant (cholera holotoxin) in the work of Ferrero et al.

It is not considered that documents like D7 and D8 teach away from the suggestion of D1. The skilled person had no information that led away from the fact that an immune response was obtainable with an recombinant attenuated Salmonella (as suggested in D1).

In Summary: The prior art contained a teaching about immunoprotection (after oral immunization) by Helicobacter urease (documents D2/D6/D9/D10) and a suggestion about the use of a live vaccine e.g. based on Salmonella bacteria in relation to H pylori infection (see summary of D1), Salmonella referred to as an oral vaccine carrier.

- 4. The requisite unity of invention (Rule 13.1 PCT) therefore no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the following groups of dependent claims:
- i. Claim 4 and depending claims: the Helicobacter antigen being urease;
- ii. Claim 5-6 and depending claims: the antigen being a secretory polypeptide from Helicobacter like AlpA, AlpB.
- Moreover, methods for the screening of useful antigens (epitopes) of pathogens 5. are well known. The screening of the clones of a gene bank (e.g. see D3, page 21) for their ability to confer protective immunity against an infection in a mammalian host is usually carried out by screening for the presence of a particular antibody reactivity towards antigens. The direct testing for protective immunity is a lot of experimental work and appears in fact also not to have been carried as experimental results are missing in the specification.

An additional separate invention is therefore represented by the subject-matter of claim 16, as it is not so linked as to form a single general inventive concept (Rule 13.1 PCT) with the subject-matter of claims 1-15.

EXAMINATION REPORT - SEPARATE SHEET

6. A single general inventive concept (referred to in Rule 13 PCT and the PCT Preliminary Examination Guidelines Ch.III, 7) is therefore not recognisable in the absence of a common, special technical feature, and three inventions have been identified (claim 4, 5-6 and 16, respectively). The Applicant did not pay additional fees or restrict the claims on file. The IPER is therefore be issued with respect to the first recognised invention (claim 4).

Item V: Reasoned statement under Rule 66.2(a)(ii).

7. As mentioned above it is considered that the subject-matter of claims 1-4 is obvious to the skilled person and therefore the present application does not satisfy the criterion set forth in Article 33(3) PCT because the subject-matter of said claims does not involve an inventive step (Rule 65(1)(2) PCT).

With respect to claim 4 in so far as it contains the combination of H pylori urease expressed in recombinant attenuated Salmonella (i.e. claim referring back to claim 3 only) an inventive step is recognised, as in this case it has been demonstrated that the problem (obtaining a protective immune response protecting agains H pylori infections) is indeed solved by expression of the urease by living attenuated Salmonella (data both in the application as originally filed and in additional experimental data later filed) leading to full protection in mouse challenged with an H pylori strain.

The additional technical features of depending claims 7-15 (as far as referring back to claim 4) are considered to be merely represent straightforward possibilities which the skilled person would select from several possibilities, in accordance with circumstances, without the exercise of inventive skill, in order to solve the problem posed. The subject-matter of said depending claims is therefore considered to be obvious to the skilled person in the absence of any demonstrated unexpected or special results.

Item VIII: Certain Observations under Article 6 PCT.

8. In conjunction with the above observation with respect to the lack of unity of invention, it is noted that Article 6 of the PCT requires that all independent claims contain the essential technical feature(s) of the invention (see also Rule 6.3(b) PCT).

The product claims like claim 4 are now limited to products for a first medical use (pharmaceutical use). This restriction with respect to claims 1-15 is not considered to introduce all the essential technical features of the invention.

A restriction to the type of expressed Helicobacter specific antigen (urease) and carrier (attenuated Salmonella) as essential technical features of the presently examined invention appears to be necessary (e.g compare US-A-5,583,038 limited to recombinant mycobacteria). All prior art relating to succesfull immunoprotection against H pylori infection comprise urease fragments (see e.g. also abstract 204 by Ohlara on the same page A51 of cited reference D10 using a H.pylori mouse model); furthermore, success in the present application with live attenuated bacterium has only been demonstrated with recombinant attenuated Salmonella (in mice), and the skilled person has no teaching or expectation that he may equally use **any** other attenuated bacterium to be equally succesfull (see also the summary of D1).

Form PCT/Separate Sheet/409 (Sheet 5) (EPO-April 1997)

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- 1. Pharmaceutical composition comprising as an active agent an immunologically protective living vaccine which is a recombinant attenuated microbial pathogen, which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable of expressing said nucleic acid molecule or capable of causing the expression of said nucleic acid molecule in a target cell.
- 2. The composition according to claim 1, wherein the pathogen is an enterobacterial cell, especially a Salmonella cell.
- 3. The composition according to claim 1 or 2, wherein the pathogen is a Salmonella aro mutant cell.
- 4. The composition according to any of claims 1-3, wherein the Helicobacter antigen is urease, a urease subunit, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
- 5. The composition according to any one of claims 1-3, wherein the Helicobacter antigen is a secretory polypeptide from Helicobacter, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
- 6. The composition according to any one of claims 1-3 and 5, wherein the Helicobacter antigen is selected from the group consisting of the antigens AlpA, AlpB, immunologically reactive fragments thereof, or a peptide mimotope thereof.
- 7. The composition according to any one of claims 1-6, wherein said nucleic acid molecule encoding a Helicobacter antigen is capable to be expressed phase variably.
- 8. The composition according to claim 7,

wherein said nucleic acid molecule encoding the Helicobacter antigen is under control of an expression signal which is substantially inactive in the pathogen and which is capable to be activated by a nucleic acid reorganization caused by a nucleic acid reorganization mechanism in the pathogen.

- 9. The composition according to claim 8, wherein the expression signal is a bacteriophage promoter, and the activation is caused by a DNA reorganization resulting in the production of a corresponding bacteriophage RNA polymerase in the pathogen.
- 10. The composition according to any one of claims 1-9, wherein said pathogen further comprises at least one second nucleic acid molecule encoding an immunomodulatory polypeptide, wherein said pathogen is capable to express said second nucleic acid molecule.
- The composition according to any one of claims 1-10, together with pharmaceutically acceptable diluents, carriers and adjuvants.
- 12. The composition according to claim 11,
 which is suitable for administration to a mucosal surface or via the parenteral route.
- 13. A method for the preparation of a living vaccine comprising formulating a pharmsceutical composition according to any one of claims 1-10 in a pharmaceutically effective amount with pharmaceutically acceptable diluents, carriers and/or adjuvants.

- 14. The method of claim 13 including the preparation of a recombinant attenuated pathogen comprising the steps:
 - a) inserting a nucleic acid molecule encoding a Helicobacter antigen into an attenuated pathogen, wherein
 a recombinant attenuated pathogen is obtained, which
 is capable of expressing said nucleic acid molecule
 or is capable to cause expression of said nucleic
 acid molecule in a target cell, and
 - b) cultivating said recombinant attenuated pathogen under suitable conditions.
- 15. The method according to claim 14, wherein said nucleic acid molecule encoding a Helicobacter antigen is located on an extrachromosomal plasmid or inserted in the chromosome.
- 16. A method for identifying Helicobacter antigens, which raise a protective immune response in a mammalian host, comprising the steps of:
 - a) providing an expression gene bank of Helicobacter in an attenuated pathogen and
 - b) screening the clones of the gene bank for their ability to confer protective immunity against a Helicobacter infection in a mammalian host.

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New Claims

1. Pharmaceutical composition comprising as an active agent an immunologically protective living vaccine which is a recombinant attenuated bacterium _ which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable of expressing said nucleic acid molecule or capable of causing the expression of said nucleic acid molecule in a target cell.



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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classificati n ⁶:

C07K 14/205, 16/12, C12N 15/10, 15/31, 15/74, A61K 39/112

(11) International Publication Number:

WO 98/16552

A1 |

(43) International Publication Date:

23 April 1998 (23.04.98)

(21) International Application Number:

PCT/EP97/04744

(22) International Filing Date:

1 September 1997 (01.09.97)

(30) Priority Data:

96116337.5

11 October 1996 (11.10.96)

EP

(34) Countries for which the regional or international application was filed:

DE et al.

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Published

With international search report.

(54) Title: HELICOBACTER PYLORI LIVE VACCINE

(57) Abstract

The present invention relates to novel recombinant live vaccines, which provide protective immunity against an infection by Helicobacter pylori and a method of screening H. pylori antigens for optimized vaccines.

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Helicobacter pylori live vaccine

Specification

The present invention relates to novel recombinant live vaccines, which provide protective immunity against an infection by Helicobacter pylori and a method of screening H. pylori antigens for optimized vaccines.

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Helicobacter is a gram-negative bacterial pathogen associated with the development of gastritis, pepic ulceration and gastric carcinoma. Several Helicobacter species colonize the stomach, most notably H. pylori, H. heilmanii and H. felis. 15 Although H. pylori is the species most commonly associated with human infection, H. heilmanii and H. felis also have been found to infect humans. High H. pylori infection rates are observed in third world countries, as well as in industrialized countries. Among all the virulence factors described in H. 20 pylori, urease is known to be essential for colonisation of gnobiotic pigs and nude mice. Urease is an enzyme composed of two structural subunits (UreA and UreB). Previous studies have indicated that oral immunization using recombinant UreB plus cholera toxin were able to protect mice from gastric colonisa-25 tion with H. felis and H. pylori (Michetti et al., Gastroenterology 107 (1994), 1002-1011). By oral administration of recombinant UreB antigens, however, in several cases only an incomplete protection can be obtained. Other H. pylori antigens shown to give partial protection are the 87 kD vacuolar 30 cytotoxin VacA (Cover and Blaser, J. Biol. Chem. 267 (1992), 10570; Marchetti et al., Science 267 (1995), 1655) and the 13 and 58 kD heat shock proteins HspA and HspB (Ferrero et al., Proc. Natl. Acad. Sci. USA 92 (1995), 6499).

- 35 Attenuated pathogens, e.g. bacteria, such as Salmonella, are known to be efficient live vaccines. The first indications of the efficacy of attenuated Salmonella as good vaccine in hu-

mans came from studies using a chemically mutagenized Salmonella typhi Ty21a strain (Germanier and Furer, J. Infect. Dis. 141 (1975), 553-558), tested successfully in adult volunteers (Gilman et al., J. Infect. Dis. 136 (1977), 717-723) and later s on in children in a large field trial in Egypt (Whadan et al., J. Infect. Dis. 145 (1982), 292-295). The orally administered Ty21a vaccine was able to protect 96% of the Egyptian children vaccinated during three years of surveillance. Since that time new attenuated Salomonella live vector vaccines have developed 10 (Hone et al., Vaccine 9 (1991), 810-816), in which well defined mutations incorporated into the chromosome gave rise to non-virulent strains able to induce strong immune responses after oral administration (Tacket et al., Vaccine 10 (1992), 443-446 and Tacket et al., Infect. Immun. 60 (1992), 536-541). 15 Other advantages of the live attenuated Salmonella vaccine include its safety, easy administration, long-time protection and no adverse reactions in comparison with the former inactivated wholesale typhoid vaccines (Levine et al., Typhoid Fever Vaccines. In: Plotkin S.A., Mortimer E.A. Jr. (eds.) Vaccines. 20 Philadelphia: WB Saunders (1988), 333-361).

Mutants of S. typhimurium have been extensively used to deliver antigens because of the possibility to use mice as an animal model, which is believed to mimick S. typhi infections 25 in humans. The attenuation of S. typhimurium most commonly used consists in site directed mutagenesis of genes affecting the synthesis of aromatic amino acids. Such strains, designated aro mutants, have a negligible pathogenicity, as demonstrated in animal models and human trials using these constructs 30 (Hoiseth and Stocker, Nature 291 (1981), 238-239; Tacket et al. (1992), Supra). Advantage has been taken from the potent immunogenicity of live Salmonella vaccine to deliver heterologous antigens. Expression of specific antigens in attenuated Salmonella has conferred murine protection against several 35 bacterial pathogens. The use of recombinant live vaccines, which are capable of expressing Helicobacter antigens and protecting the vaccinated animals, has not yet been described.

The use of attenuated live vaccines for the treatment of a Helicobacter infection has also not been rendered obvious. The reason therefor being that in the course of the Helicobacter infection a strong immune response against the pathogen per se s is induced, which, however, does not lead to a protective immunity. Thus, it was highly surprising that a protective immune response is achieved when using recombinant attenuated bacterial cells as antigen carriers, which are capable of expressing a DNA molecule encoding a Helicobacter antigen. 10 Apparently, recombinant attenuated bacterial cells expressing a Helicobacter antigen are capable of creating a qualitatively different immune response against the heterologous Helicobacter antigen than Helicobacter itself does against its own homologous antigen. Surprisingly, a non-protective immune 15 response is thus transformed into an immune response protecting against Helicobacter infections. This unexpected observation renders it possible to use recombinant attenuated pathogens, e.g. bacterial cells, particularly Salmonella, as carriers for the screening of protective antigens, to apply the 20 protective antigen's identified in this manner in any vaccine against Helicobacter infections, and to use recombinant attenuated bacteria as carriers of protective antigens for the immunization against Helicobacter infections in humans and other mammals.

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Thus, a subject matter of the present invention is a recombinant attenuated pathogen, which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable to express said nucleic acid molecule or capable to cause the expression of said nucleic acid in a target cell. Preferably the nucleic acid molecule is a DNA molecule.

The attenuated pathogen is a microorganism strain which is able to cause infection and preferably effective immunological protection against the actual pathogen but is no longer pathogenic per se. The attenuated pathogen can be a bacterium, a

virus, a fungus or a parasite. Preferably it is a bacterium, e.g. Salmonella, such as S. typhimurium or S. typhi, Vibrio cholerae (Mekalanos et al., Nature 306 (1983), 551-557), Shigella Species such as S. flexneri (Sizemore et al., Science s 270 (1995), 299-302; Mounier et al., EMBO J. 11 (1992), 1991-1999), Listeria such as L. monocytogenes (Milon and Cossart, Trends in Microbiology 3 (1995), 451-453), Escherichia coli, Streptococcus, such as S. gordonii (Medaglini et al., Proc. Natl. Acad. Sci. USA 92 (1995) 6868-6872) or Mycobacterium, 10 such as Bacille Calmette Guerin (Flynn, Cell. Mol. Biol. 40 Suppl. 1 (1994), 31-36). More preferably the pathogen is an attenuated enterobacterium such as Vibrio cholerae, Shigella flexneri, Escherichia coli or Salmonella. Most preferably the attenuated pathogen is a Salmonella cell, e.g. a Salmonella 15 aro mutant cell. The attenuated pathogen, however, can be a virus, e.g. an attenuated vaccinia virus, adenovirus or pox virus.

The nucleic acid molecule which is inserted into the pathogen codes for a Helicobacter antigen, preferably a H. felis, H. heilmanii or H. pylori antigen, more preferably a H. pylori antigen. The Helicobacter antigen can be a native Helicobacter polypeptide, an immunologically reactive fragment thereof, or an immunologically reactive variant of a native polypeptide or of a fragment thereof. Further, the Helicobacter antigen can be a protective carbohydrate or a peptide mimotope simulating the three-dimensional structure of a native Helicobacter antigen. Peptide mimotopes can be obtained from peptide libraries presented on the surface of bacterial cells (cf. PCT/EP96/01130). Of course, the transformed cell can also contain several DNA molecules coding for different Helicobacter antigens.

The nucleic acid molecules coding for Heliobacter antigens may

be located on an extrachromosomal vector, e.g. a plasmid,

and/or integrated in the cellular chromosome of the pathogen.

When the pathogen is used as a vaccine, chromosomal integra-

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tion usually is preferred.

Attenuated bacteria can be used to transcribe and translate said nucleic acid molecule directly in the bacterial cell or 5 to deliver said nucleic acid molecule to the infected target cell, such that the DNA molecule is transcribed and/or translated by the eukaryotic target cell machinery. This indirect bacterial vaccination procedure, termed here as genetic vaccination, has been successfully used with Shigella as a carrier 10 (Sizemore, D. R., Branstrom, A. A. & Sadoff, J. C. (1995) Attenuated Shigella as a DNA delivery vehicle for DNA-mediated immunization. Science 270:299-302).

In a preferred embodiment of the present invention the Heli-15 cobacter antigen is urease, a urease subunit or an immunologically reactive variant or fragment thereof or a peptide mimotope thereof. In a further preferred embodiment of the present invention the Helicobacter antigen is a secretory polypeptide from Helicobacter, an immunologically reactive 20 variant or fragment thereof or a peptide mimotope thereof. A process for identifying Helicobacter genes coding for such secretory polypeptides, and particularly for adhesins, has been disclosed in the international patent application PCT/EP96/02544, which is incorporated herein by reference. 25 This process comprises

- - a) preparing a gene bank of H. pylori DNA in a host organism containing an inducible transposon coupled to a marker of secretory activity,
- inducing the insertion of the transposon into the H. b) pylori DNA and 30
 - c) conducting a selection for clones containing a secretory gene by means of the marker, and optionally further
- conducting a retransformation of H. pylori by means of d) the DNA of clones containing genes having secretory activity, wherein isogenic H. pylori mutant strains are pro-35 duced by means of integrating the DNA into the chromosome, and

e) conducting a selection detecting adherence-deficient H. pylori mutant strains.

Suitable examples of antigens obtainable by the above process are selected from the group consisting of the antigens AlpA, AlpB, immunologically reactive variants or fragments thereof or peptide mimotopes thereof. The nucleic and amino acid sequences of the antigens AlpA and AlpB have been disclosed in the international patent applications PCT/EP96/02545 and PCT/10 EP96/04124, which are incorporated herein by reference. Further, the nucleic and amino acid sequences of AlpB are shown in SEQ ID NO. 1 and 2, and the nucleic and amino acid sequences of AlpA in SEQ ID NO. 3 and 4.

15 It is also conceivable, however, that an intracellular antigen is used which can be presented on the surface, e.g. by autolytic release, and confers immunological protection.

The presentation of the Helicobacter antigens in the recombinant pathogen according to the invention can be accomplished in different ways. The antigen or the antigens can be synthesized in a constitutive, inducible or phase variable manner in the recombinant pathogen. Concerning the constitutive or inducible synthesis of the Helicobacter antigens known expression systems can be referred to, as have been described by Sambrook et al., Molecular Cloning, A Laboratory Manual (1989), Cold Spring Harbor Laboratory Press.

Particularly preferred the antigens are presented in a phase variable expression system. Such a phase variable expression system for the production and presentation of foreign antigens in hybrid live vaccines is disclosed in EP-B-0 565 548, which is herein incorporated by reference. In such a phase variable expression system the nucleic acid molecule encoding the He-licobacter antigen is under control of an expression signal, which is substantially inactive in the pathogen, and which is capable of being activated by a spontaneous reorganization

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caused by a nucleic acid, e.g. DNA reorganization mechanism in the pathogen, e.g. a specific DNA inversion process, a specific DNA deletion process, a specific DNA replication process or a specific slipped-strand-mispairing mechanism.

A recombinant cell having a phase variable expression system is capable of forming two subpopulations A and B, wherein the division into said subpopulations occurs by spontaneous reorganization in the recombinant nucleic acid, wherein said subpopulation A is capable of infection and immunologically active per se, while subpopulation B, which is regenerated from subpopulation A, produces at least one heterologous Helicobacter antigen and acts immunologically with respect to said additional antigen.

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The activation of the expression signal encoding the Helicobacter antigen can be directly accomplished by nucleic acid reorganization or, alternatively, indirectly accomplished by activation of a gene encoding a protein which controls the 20 expression of the gene encoding the Helicobacter antigen. The indirect activation represents a system which allows the production of the Helicobacter antigen via a cascade system, which can be realized e.g. in that the gene directly controlled by DNA reorganization codes for an RNA polymerase which is 25 specific for the promoter preceding the Helicobacter gene, or a gene regulator which in another specific manner induces the expression of the Helicobacter gene. In an especially preferred embodiment of the present invention the expression signal for the gene encoding the Helicobacter antigen is a bacterio-30 phage promoter, e.g. a T3, T7 or SP6 promoter, and the activation of the expression signal is caused by a nucleic acid reorganization resulting in the production of a corresponding bacteriophage RNA polymerase in the pathogen.

The phase variable expression system can be adjusted to provide a preselected expression level of the Helicobacter antigen. This can be accomplished e.g. by modifying the nucleotide

(1996), 11458-11463).

sequence of the expression signal, which is activated by the nucleic acid reorganization mechanism, and/or by inserting further genetic regulation elements.

The Helicobacter antigens can be produced in an intracellular, as well as in an extracellular manner in the pathogen according to the invention. For instance, autotransporter systems such as the IgA-protease system (cf. for instance EP-A-0 254 090) or the E. coli AIDA-1 adhesin system (Benz et al., Mol. Microbiol. 6 (1992), 1539) are suited as extracellular secretory system. Other suitable outer membrane transporter systems are the RTX-toxin transporters, e.g. the E. coli hemolysin transport system (Hess et al., Proc. Natl. Acad. Sci. USA 93

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The pathogen according to the invention can contain a second heterologous nucleic acid, e.g. DNA molecule, which codes for an immunomodulatory polypeptide influencing the immune response quantitatively or qualitatively, apart from the nucleic acid molecule encoding the Helicobacter antigen. Examples of such immunomodulatory polypeptides are immune-stimulating peptides, cytokines like IL-2, IL-6 or IL-12, chemokines, toxins, such as cholera toxin B or adhesins.

The present invention also refers to a pharmaceutical composition comprising as an active agent a recombinant attenuated pathogen as described above, optionally together with pharmaceutically acceptable diluents, carriers and adjuvants. Preferably, the composition is a living vaccine. The vaccination routes depend upon the choice of the vaccination vector. The administration may be achieved in a single dose or repeated at intervals. The appropriate dosage depends on various parameters such as the vaccinal vector itself, or the route of administration. Usually the dosage comprises about 10⁶ to 10¹² cells (CFU), preferably about 10⁸ to 10¹⁰ cells (CFU) per vaccination. Administration to a mucosal surface (e.g. ocular, intranasal, oral, gastric, intestinal, rectal, vaginal or urinary tract)

or via the parenteral route (e.g. subcutaneous, intradermal, intramuscular, intravenous or intraperitoneal) might be chosen. A method for the preparation of the living vaccine comprises formulating the attenuated pathogen in a pharmaceutically effective amount with pharmaceutically acceptable diluents, carriers and/or adjuvants.

The pharmaceutical composition may be provided in any suitable form, e.g. a suspension in suitable liquid carrier, such as water or milk, a capsule, a tablet etc. In a preferred embodiment of the present invention the composition is a lyophilized product which is suspended in a liquid carrier prior to use.

Further, the present invention refers to a method for preparing a recombinant attenuated pathogen as defined above, comprising the steps of a) inserting a nucleic acid molecule encoding a Helicobacter antigen into an attenuated pathogen, wherein the recombinant pathogen, e.g. a transformed bacterial cell, is obtained, which is capable of expressing said nucleic acid molecule or is capable to cause expression of said nucleic acid molecule in a target cell and b) cultivating said recombinant attenuated pathogen under suitable conditions. If the pathogen is a bacterial cell, the nucleic acid molecule encoding the Helicobacter antigen can be located on an extrachromosomal plasmid. It is, however, also possible to insert the nucleic acid molecule into the chromosome of the pathogen.

Furthermore, the present invention refers to a method for identifying Helicobacter antigens which raise a protective immune response in a mammalian host, comprising the steps of:

a) providing an expression gene bank of Helicobacter in an attenuated pathogen and b) screening the clones of the gene bank for the ability to confer a protective immunity against a Helicobacter infection in a mammalian host. Preferably, this identification process takes place in a phase variable expression system, rendering possible a stable expression of all of

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the Helicobacter antigens. Recombinant clones can then be applied as "pools" for the oral immunization of test animals, such as mice. The potential of these clones as protective antigen is then determined via a challenge infection with 5 Helicobacter, e.g. a mouse-adapted H. pylori strain. Thus, there is a possibility of directly selecting optimized H. pylori vaccine antigens.

The invention will be further illustrated by the following 10 figures and sequence listings.

shows a schematic illustration of the urease expres-Fig. 1: sion vector pYZ97, whereon the genes coding for the urease subunits UreA and UreB are located under transcriptional control of the T7 promoter ϕ 10. 15 There is a ribosomal binding site (RBS) between the T7 promoter and the urease genes. Further, the plasmid exhibits an origin of replication (ori), a ßlactamase resistance gene (bla) and 4 T7 terminators in serieś.

> Apart from the expression by the T7 promoter, a constitutive low level expression of the urease A and B subunits can also be brought about via a cryptic promoter, which is located upstream from the T7 promoter, on the plasmid pYZ97.

- shows the nucleotide sequence of the transcriptional Fig.2: regulation region for urease expression and the beginning of the amino acid sequence of urease subunit A on plasmid pYZ97.
- shows a schematic illustration of the T7 RNA polyme-Fig.3: rase (T7RNAP) expression cassettes pYZ88, pYZ84 and pYZ114, which can be integrated into the chromosomes 35 of bacteria.

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In the high-expression cassette pYZ88 the lambda PL promoter is located in inverse orientation, upstream from the T7RNAP gene. A gene for the temperature-sensitive repressor cI 857 (cI) is under control of this promoter. A terminator of the bacteriophage fd (fdT) is situated upstream from the cI gene. The gin gene (Mertens, EMBO J. 3 (1984), 2415-2421) codes for a control enzyme of a DNA reorganization mechanism. A DNA sequence coding for the tRNA Arg is located downstream from the gin gene.

In phase A the PL promoter responsible for the expression of the T7RNAP gene is directed in the direction of the cI857 gene and the gin gene. The consequence of this is that an active repressor is formed at the permissive temperature of 28°C and reduces the transcription from the PL promoter. At a higher temperature the transcription of the PL promoter is increased, since the repressor is inactivated at least partially under such external influences. The temperature-dependent increase in the transcription also causes a corresponding increase in the expression of the following gin gene, which as a control enzyme catalyses the inversion of the PL promoter and the transition in phase B, in which the T7RNAP gene is expressed.

In the high-expression system pYZ88 a further fdT transcription terminator is located between a kanamycin-resistance gene (km) and the promoter of this gene. In this manner, the synthesis of an anti-sense RNA, inversely orientated to the T7RNAP gene, which normally contributes to the reduction of the T7RNAP expression, is reduced. This results in a high expression of the T7RNAP.

In the medium-expression system pYZ84 a transcrip-

tion terminator (fdT) is located between the PL promoter and the start of the T7RNAP gene. In this manner the expression of the T7RNAP mRNA is reduced. Additionally, the anti-sense RNA affects the T7RNAP translation. Therefore, only a medium expression occurs.

In the low-expression system pYZ114 a deletion of 100 bp in PL is additionally introduced (Δ PL). In this manner the activity of the PL promoter is reduced to a high extent, which leads to a lower T7RNAP expression and thus to a reduction of the UreA/B gene expression. In this construct the effect of the cryptic promoter on pYZ97 is already observed.

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- Fig.4: shows the results of an ELISA for anti-H.pylori antibodies in intestinal fluids of vaccinated mice.
- Fig.5: shows the results of an ELISA for anti-H.pylori antibodies in the serum of vaccinated mice.
 - Fig.6: shows the urease activity in the stomach tissue of vaccinated mice after H.pyroli challenge.
- 25 SEQ ID NO. 1 and 2 show the nucleotide sequence of the adhesin gene AlpB from H. pylori and the amino acid sequence of the polypeptide coded therefrom.
- SEQ ID NO. 3 and 4 show the nucleotide sequence of the adhesin gene AlpA from H. pylori and the amino acid sequence of the protein coded therefrom.
- SEQ ID NO. 5 and 6 show the nucleotide sequence of the transcriptional regulation region for urease expression and the beginning of the amino acid sequence of urease subunit A on plasmid pYZ97.

Experimental part

Example 1

s Cloning of the ureA and ureB genes.

The structural genes encoding the urease, ureA and ureB, have been genetically cloned from chromosomal DNA of a clinical specimen P1 (formerly 69A) isolated at the University of Amsterdam and provided by Dr. Jos van Putten. The genes were isolated by a PCR-approach using the primer pair YZ019 (5 -GGAATTCCATATGAAACTGACTCCCAAAGAG-3) and CTGCAGTCGACTAGAAAATGCTAAAGAG-3') for amplification. The sequence of the primers was deduced from GenBank (accession numbers M60398, X57132). The DNA sequence of primer YZ019 15 covered the nucleotides 2659-2679 of the published sequence and further contained a translational regulatory sequence (down stream box; Sprengart, M. L. et al., 1990, Nuc. Acid. Res. 18:1719-1723) and a cleavage site for NdeI. The DNA sequence of primer RH132 covered the nucleotides 5071-5088 of 20 the published sequence and a cleavage site for SalI. amplification product was 2.4 kbp in size comprising the complete coding region of ureA and ureB genes without the original transcriptional start and termination sequences from the Helicobacter chromosome. The purified PCR-fragment was 25 digested with NdeIand SalIand inserted corresponding cloning sites of T7 expression plasmid pYZ57 to yield the plasmid pYZ97.

pYZ57 was originally derived from plasmid pT7-7, which was described by Tabor (1990, In Current Protocols in Molecular 30 Biology, 16.2.1-16.2.11. Publishing Greene and Interscience, New York). terminator fragments Two introduced into the pT7-7 backbone at different sites by the following strategy: (1) The tandem T7 terminators. A 2.2 kbp EcoRI/HindIII fragment was excised from pEP12 (Brunschwig & 35 Darzins, 1992, Gene, 111:35-41) and the purified fragment ligated with predigested pBA (Mauer, J. et al., 1997, J. Bacteriol. 179:794-804). The ligation product was digested

with <code>HindIII</code> and <code>ClaI</code>. The resulting 2.2 kbp <code>HindIII/ClaI</code> fragment was subsequently inserted into predigested pT7-7. (2) The T1 terminator. A 230 bp <code>HpaI/NdeI</code>-fragment was excised from plasmid pDS3EcoRV (provided by Dr. H. Bujard; ZMBH, 5 Heidelberg). The fragment was then further treated with <code>Klenow</code> to generate blunt ends. The purified rrnBT1 fragment was inserted into the previous pT7-7 derivative, predigested with <code>BgIII</code> and subsequently bluntended by Klenow treatment. Figure 1 describes the completed vector pYZ97 used for the expression of the urease genes coding for urease subunits <code>UreA</code> and <code>UreB</code> in <code>S</code>. <code>typhimurium</code>. As indicated in Figure 1, the urease genes can be controlled by the T7 promoter \$\phi10\$. The ribosome binding site (RBS) is located between the T7 promoter and the urease genes. Further, the plasmid exhibits an origin of replication 15 (ori) and a \$\mathbb{G}-lactamase resistance gene (bla).

Apart from the expression controled by the T7 promoter, a constitutive moderate level expression of the urease A and B subunits does occur from a promoter driven by Salmonella RNA polymerase. The promoter is located upstream from the T7 20 promoter, on the plasmid pYZ97. For detailed molecular analysis, the purified BglII/HindIII-fragment of pYZ97 was subcloned into the pCR-Script™ SK(+)kit (Stratagene) subjected to DNA-sequencing. The sequence data confirmed the various elements in their completeness (see Figure 2 and SEQ 25 ID NO.5 and 6): part of the ureA gene, the down-stream box, the RBS, the T7 promoter and the T1 terminator (rrnBT1). The sequence analysis also disclosed the region between the T1 terminator region and the T7 promoter where the Salmonella RNA polymerase promoter is localised. The sequence data suggests a location of this constitutive promoter between nucleotides 222 - 245 which have been deduced from structural predictions (Lisser & Margalit, 1993, Nuc. Acid. Res. 21:1507-1516).

Example 2

35 Immunological protection by administration of live vaccine

Materials and Methods

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- 15 -

Bacterial strains: S. typhimurium SL3261 live vector vaccine strain was used as a recipient for the recombinant H. pylori urease plasmid constructs. S. typhimurium SL3261 is an aroA transposon mutant derived from S. typhimurium SL1344 wild type strain. S. typhimurium SL3261 is a non-virulent strain that gives protection to mice against infection with wild type S. typhimurium after oral administration (Hoiseth and Stocker (1981) Supra). S. typhimurium SL3261 and derivatives thereof, which contain the urease expression plasmid pYZ97 (extrachromosomal) and the T7RNAP expression cassettes pYZ88, pYZ84 or pYZ114, respectively (integrated into the chromosome) are indicated in table 1. Luria broth or agar was used for bacterial growth at 28°C. H. pylori wild type strain grown at 37°C on serum plates was used for the challenge experiments.

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Immunization of mice: Four weeks Balb/c mice purchased from Interfauna (Tuttlingen, Germany) were adapted two weeks in an animal facility before being used for experimentation. 150 μ l of blood was taken retroorbitally from all mice to obtain preimmune serum. Retroorbital bleedings were repeated from all immunized mice 1 week and 3 weeks after immunization.

Eight groups of 5 mice including controls were used in this study (table 2). Group A, the naive control group, was not immunized with Salmonella neither challenged with wild type H. pylori. The rest of the groups were all orally immunized. Group B, a negative control group, did not receive Salmonella and was challenged with H. pylori. Mice from groups C to G were immunized with Salmonella vaccine strains and challenged with H. pylori. The last group H received recombinant urease B in combination with cholera toxin and was also challenged.

Prior to immunizations mice were left overnight without solid food and 4 hours without water. 100 μ l of 3% sodium bicarbonate were given orally using a stainless steel catheter tube to neutralize the stomach pH. Then mice from group B received 100 μ l PBS and mice from groups C to G received 1.0 x 10 10 CFU

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of Salmonella in a 100 μ l volume. Mice from group H received four times 100 μ l of a mixture of recombinant H. pylori UreaseB plus cholera toxin, one dose every week. After every immunization water and food were returned to the mice.

H. pylori challenge: Four weeks after the first oral immunization mice from groups B to H were challenged with H.pylori. Mice were left overnight without solid food and without water 4 hours prior to the challenge. 100 μ l of 3% sodium bicarbonate were given orally to the mice using a stainless steel catheter tube, followed by an oral dose of 5.0 x 10 9 CFU/ml of Helicobacter pylori. Water and food were returned to the mice after the challenge.

15 Collection of blood and tissues from mice: Twelve weeks after the first immunization the mice were left overnight without food and subsequently sacrificed for analysis of protection and immune response. The mice were anaesthetized with Metoxy-fluorane for terminal cardiac bleeding and prior to sacrifice by cervical dislocation. Under aseptic conditions, spleen and stomach were carefully removed from each mouse and placed on ice in separate sterile containers until further processing. Large and small intestine were obtained for further isolation of the intestinal fluid.

Processing of stomach and measurement of urease activity: The degree of H. pylori colonisation in the mouse stomach was measured by the presence of active urease in the tissue. The Jatrox-test (Röhm-Pharma GmbH, Weiterstadt, Germany) was used according to the suppliers' directions. Stomach mucosa was exposed and washed with PBS, half of the antral portion of the stomach was immediately placed inside an Eppendorf tube containing the substrate for measurement of urease activity. Absorbance at 550 nm was measured after tubes were incubated for 4 hours at room temperature. The rest of the stomach tissue was stored at -20°C for further treatments. The urease activity values obtained from the stomach of naive mice, which

did not undergo immunization or challenge, were used to create a base line to indicate the absence of H. pylori infection and therefore protection.

Table 1
UreA and UreB expressing S. typhimurium vaccine strains

	Strains	Urease Expres-	Source
10	S. typhimurium SL3261	Negative	Hoiseth and Stocker
	S. typhimurium SL3262 pYZ97	Constitutive Low	this study
	S. typhimurium SL3261::pYZ88pYZ97	High T7-induced expression	this study
15	S. typhimurium SL3261::pYZ84pYZ97	Medium T7-indu- ced expression	this study
	S. typhimurium SL3261::pYZ114pYZ97	Low T7-induced expression	this study

Table 2
Mice groups used for immunization

	Group	Immunogen	No. of oral immuniza-tions
	A	None	0
5	В	PBS oral immunization	1
	С	S. typhimurium S3261	1
	D	S. typhimurium S3261 pYZ97	1
	Е	S. typhimurium S3261::pYZ88pYZ97	1
	F	S. typhimurium S3261::pYZ84pYZ97	1
10	G	S. typhimurium S3261::pYZ114pYZ97	1
	Н	Urease B plus cholera toxin	4

15 Results:

In the control mice (groups B and C) 100% infection with H. pylori was observed. In the mice immunized with recombinant attenuated pathogens (groups D, E, F, G) between 0% and 60% infection (100% to 40% protection) was observed. Immuno-protection did not correlate with humoral anti-UreA and UreB response, suggesting that, in addition to humoral immunity, cellular immunity is critical for protection against H. pylori infection. The results indicate that oral immunization of mice with UreA and UreB delivered by S. typhimurium attenuated strain is effective to induce high levels of protection against H. pylori colonisation.

In the mice immunized with recombinant urease B plus cholera toxin considerably higher levels of urease activity were ob-

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served under said experimental conditions than when administering the recombinant attenuated pathogens according to the invention.

5 The results of the urease test have been illustrated in table 3.

				_ -				
	<u>Table 3</u>	Group	Mouse	E _{550nm, 4h}	E4h - Econtrol	E 3	Dilution	
		Α	1	0,085	-0,022	-0,066		
		Α	2	0,091	-0,016	-0,048	200µl+400µl	
		Α	3	0,116	0,009		200µІ+400µІ	
5		Α	4	0,099	-0,008	0,027	200µl+400µl	
		Α	5	0,101	-0,008	-0,024	200µl+400µl	
		Control	_	0,107	0.008	-0,018	200µі+400µі	
				0,107	U .	0	200µі+400µі	
		В	1	0,394	0,292	0,876	200µl+400µl	
		В	2	0,464	0,362	1,086	100µ+400µ	
		В	3	0,329	0,227	0,681	200µl+400µl	
		В	4	0,527	0,425	1,275	200µ!+400µ!	
		В	5	0,462	0,36	1,08	200µI+400µI	
		Control		0,102	0	0	200µi+400µi	
		С	1	0.040				
		Č		0,248	0,145	0,435	200µl+400µl	
		C	2	0,369	0,266	0,798	200µl+400µl	
		C	3	0,209	0,106	0,318	200µl+400µl	
		C	4	0,219	0,116	0,348	200µl+400µl	
			5	0,24	0,137	0,411	200µl+400µl	
		Control		0,103	0	0	200µl+400µl	
		ם	1	0.142			,	
		D	2	0,143	0,002	0,004	300µl+300µl	
		Ď	3	0,156	0,015	0,03	300µI+300µI	
		Ď	4	0,142	0,001	0,002	300µi+300µi	
		D		0,114	-0,027	-0,054	300hl+300hl	
		Control	5	0,133	-0,008	-0,016	300µl+300µl	
		Control	٠	0,141	0	0	300µl+300µl	
		E	1	0,127	0,027	0.001	000-1-400-1	
		E	2	0,094	-0,006	0,081	200µl+400µl	
		E	, 3	0,099	-0,001	-0,018	200µі+400µі	
		E	4	0,161	-0,001 0,061	-0,003	200µl+400µl	
		E	5	0,198		0,183	200µl+400µl	
		Control	•	0,1	0,098	0,294	200µl+400µl	
				0,1	0	0	200µі+400µ!	
		F	1	0,166	0,025	0,05	300µl+300µl	
		F	2	0,145	0,004	0,008	300µl+300µl	
		F	3	0,166	0,025	0,05	300µl+300µl	
		F	4	0,154	0,013	0,026	300µl+300µl	
		F	5	0,301	0,16	0,32	300µl+300µl	
		Control		0,141	0	0	300hi+300hi	
						•	500рі+500рі	
		G G	1	0,084	-0,019	-0,057	200µl+400µl	
		G	2	0,087	-0,016	-0,048	200µl+400µl	
			3	0,269	0,166	0,498	200µl+400µl	
		G	4	0,085	-0,018	-0,054	200µl+400µl	
		G	5	0,092	-0,011	-0,033	200µl+400µl	
		Control		0,103	0	0	200µl+400µl	
		н	1	0,638	0.534			
		H	2	0,638	0,531	1,593	200µl+400µl	
		н	3		0.175	0,525	200µl+400µl	
		н	4	0,141	0,034	0,102	200µi+400µl	
		н -	5	0,135	0,028	0,084	200µl+400µl	
		Control	J	0,171	0,064	0,192	200µl+400µl	
		-0.11101		0,107	0	0	200µl+400µl	

Example 3

Construction and molecular analysis of various recombinant S.

typhimurium strains expressing ureA/ureB subunits.

- 5 Description of the S. typhimurium strains used for immunization experiments.
 - S. typhimurium SL3261(pYZ97) (construct A): S. typhimurium SL3261 live vaccine vector strain was used as a recipient for the recombinant urease plasmid construct pYZ97.
- These carrier strains are a derivative of S. typhimurium SL3261 which has been equipped with the T7 RNA polymerase (T7RNAP) expression cassettes schematically presented in Figure 3. These expression cassettes encode the gene for T7RNAP which is expressed in a 2-phase modus (ON/OFF) as disclosed in a previous invention of Yan et al. ("Two phase system for the production and presentation of foreign antigens in hybrid live vaccines", PCT/EP91/02478). The cassette can be integrated into the chromosome of bacteria and provide the cell in ON-position with optimal amount of T7RNAP for activation of T7RNAP-dependent expression plasmids such as pYZ97.

The principle of the YZ84 cassette is an invertible lambda PL promoter placed on a fragment that is inverted by the phage Mu invertase Gin (Yan & Meyer, 1996, J. Biotechnol. 44:197-201). Dependent on the orientation of the PL promoter either the gin gene (OFF-position) or the T7RNAP gene (ON-position) is expressed. The following regulatory elements have been included in YZ84: (1) The temperature-sensitive cIts lambda repressor (cI) which represses the PL promoter at 28°C and dissociates at 37°C. (2) The phage fd terminator (fdT) reduces expression of gin gene in order to achieve moderate inversion rates of the PL promoter on the invertible fragment.

The 2-phase expression system enables high expression rates of foreign antigens, such as the urease subunits A and B. It is well known that high expression rates of foreign antigens reduce viability of Salmonella carrier thus

diminishing immune response and consequently the protective potential. It was shown that the 2-phase system has a natural competence to improve survival of recombinant Salmonella which express large amounts of foreign antigen. In construct B, expression of the ureA and ureB genes is mainly under the control of the strong T7 promoter resulting in high production of the urease subunits. If the T7RNAP expression cassette is in OFF-position and no T7RNAP is present, the ureA and ureB genes are constitutively expressed in moderate range by the Salmonella promoter.

Analysis of ureA/B subunits produced by the various S. typhimurium strains used for immunization experiments.

Salmonella constructs A and B were first analyzed by SDSpolyacrylamide gels for expression of UreA and UreB. 15 recombinant strains were grown at 37°C in liquid Luria Broth supplemented with $100\mu g/ml$ Ampicillin starting from an over night culture. The bacteria were harvested at logarithmic growth phase by centrifugation and the cell pellet resuspended in 10mM Tris-HCl and 10 mM EDTA, (pH 8.0) and cell-density adjusted to standard A_{590} =1.0 in all probes. The bacterial suspension was mixed with the same volume of SDSsample buffer (Sambrook, J. et al. 1989. Molecular cloning: a ·laboratory manual. 2nd ed. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.) and boiled for 5 min. 20 μ l of 25 suspension were loaded onto two SDS-10 % polyacrylamide gels; one of the gels was stained with Coomassie blue stain and the other was electroblotted onto a nitrocellulose membrane and further processed for immunoblotting. The nitrocellulose membrane carrying the transferred proteins was blocked for 45 $_{30}$ min at room temperature in 10 (v/w)% non-fat milk Tris-buffersaline (TBS) (TrisHCl 100mM, NaCl 150mM, pH 7.2). After three washes in TBS-0,05 (v/v)% Tween-20, a 1:2000 dilution of rabbit anti-UreB antibody (AK 201) in 5 (w/v)% non-fat milk-TBS was added to the strip and incubated overnight at 4°C. 35 Serum was obtained from rabbit immunised with recombinant urease B subunit purified via affinity chromatography. The membrane was washed three times for 10 min with 0,05 (v/v)%

Tween-20 in PBS, and further incubated in 5 (w/v)% non-fat milk-TBS with goat anti-rabbit IgG antibody horse radish peroxidase conjugate for 45 min at room temperature. After three washes with 0.2 (v/v)% Tween-20 as above, the membrane was developed using the ECL kit (Amersham, Germany) following the recommendations of the suppliers.

Construct A: Proteins of 67 kDa and 30 kDa were observed in the Coomassie stained gel of the whole cell lysate of construct A (S. typhimurium strain SL3261(pYZ97); these sizes 10 correlate very well with those of UreB and UreA, respectively. Such proteins were absent in the control lanes containing the S. typhimurium SL3261 strain. Immunoblot analysis of the same protein samples using a rabbit anti-UreB antibody confirmed the 67 kDa protein observed in the Coomassie stained gel as 15 UreB. Expression of ureB from S. typhimurium SL3261(pYZ97) was also examined at different phases of growth by incubating at 37°C for 2, 6 and 11 hours, respectively. Expression of ureB was observed in all phases of growth including in the stationary phase; although, higher expression 20 was observed at early phases of growth. The results obtained with strain SL3261(pYZ97) indicate that UreA and UreB proteins are non-toxic for Salmonella and can be expressed at 37°C at any phase of bacterial growth.

Construct B: Similar analysis were performed with construct B. The comparison of both constructs in SDS-PAGE analysis reveals that construct B is the more efficient producer whilst construct A has moderate expression of ureA and ureB. In the course of bacterial growth of construct B, the expression of ureA and ureB is constantly high over a longer time period even without antibiotic selection. This confirms the exceptional productivity of construct B in comparison to construct A.

In summary, our data indicate that UreA and UreB from H. pylori can be expressed in S. typhimurium without causing adverse effects to the bacteria, and are, therefore, suitable for animal protection experiments when delivered by Salmonella carriers.

Plasmid-stability

Plasmid stability is essential to assure stable expression of antigens coded by genes which have been cloned into such plasmids.

In vitro plasmid stability. The ampicillin resistance marker present on plasmid pYZ97 and absent in the plasmidless S. typhimurium strain SL3261 was used as an indicator of plasmid stability. S. typhimurium strain SL3261 was grown in LB liquid medium at 28°C for up to 100 generations as described previously (Summers, D. K. and D. J. Sherrat. 1984. Cell. 36:1097-1103). Every ten generations, the number of ampicillin resistant CFU was determined from the total number of colony forming units (CFU) of Salmonella by plating equal number of bacterial dilutions on plain LB-agar plates and LB-agar plates supplemented with 100 μg/ml ampicillin.

Plasmid stability in vivo. Plasmid stability in vivo was analyzed by examining total CFU and ampicillin resistant CFU from mice spleen, two and seven days after oral infection of mice with 5.0 X10° CFU of. S. typhimurium SL3261(pYZ97). Mice 20 were orally infected with Salmonella as described above. Two days and seven days after infection mice were sacrificed under metoxyfluorane anesthesia, and the spleen was aseptically for further processing. The spleen was dissected in small pieces in a petri dish, mixed with 1 ml ice-cold 25 ddH,0, and passed several times through a 18 gauge needle to suspend the spleen cells. The cell suspension was then plated on LB-agar plates with or without 100 μ g/ml ampicillin. Plates were incubated at 37°C overnight and colonies counted the next day.

Plasmid stability in vivo was analyzed after infecting mice with one oral dose of 5.0 x 10° CFU of S. typhimurium SL3261(pYZ97). Mice spleens were taken two and seven days after infection, and plated on LB-agar plates for examination of total CFU and ampicillin resistant CFU. 2.0 x 10° ampicillin resistant CFU were isolated from the spleens after 48 h (Table 4). The CFU counts decreased to 56 at 7 days after immunization, but again, all were ampicillin resistant. The

data indicate that plasmid pYZ97 is stable in Salmonella under in vitro and in vivo conditions and is suitable for the evaluation of urease subunits as protective antigens against mouse stomach colonization by H. pylori. The low recovery of Salmonella strain SL3261 seven days after infection confirms the attenuation of this strain which allows its safe use for delivery of urease into mice.

Table 4

Recovery of *S. typhimurium* SL3261pYZ97 strain from mouse spleens and evaluation of pYZ97 plasmid stability *in vivo*.

Time after infection	Total CFU ^a	Percentage of Amp' CFU b
2 days	2.0X10 ⁴	100
7 days	56	100

^a Number of CFU of *S. typhimuriun* isolated on LB plates without antibiotics from the mouse spleens two and seven days after mice had been orally inoculated with 5.0X10^a CFU of *S. typhimuriun* strain SL3261(pYZ97).

Table 5

Examination of urease activity and streptomycin resistant *H.pylori* in stomach antrum from mice immunized with UreA and UreB-expressing *Salmonella*.

Mice group	No.	Urease activity ^a	CFU⁵
Naive Control Group	5	0.058 ± 0.004	0 ± 0 $2.7X10^{3} \pm 1.0X10^{3}$ 62.6 ± 97.3
PBS Control Group	5	0.427 ± 0.059	
SL3261pYZ97 ^c	5	0.057 ± 0.006	

Urease activity is a mean value ± standard deviation.

Percentage of ampicillin resistant CFU from the total No. of CFU isolated from mouse spleens.

Determination of CFU of the streptomicin resistant *H. pylori* P76 strain was carried out by plating a section of antrum stomach on serum plates supplemented with 200 µg/ml of streptomycin. *H. pylori* were recognized based on colony morphology, urease activity, and light microscopy examination. Values correspond to CFU ± standard deviation.

^c Mice immunized with S. typhimurium SL3261(pYZ97) expressing ureA and ureB from H. pylori as described in Materials and Methods.

Example 4

Protection experiments with the various recombinant S. typhimurium strains expressing ureA/ureB subunits in H. pylori mouse model.

Description of the *Helicobacter pylori* strains used for the experiments

Urease-deficient H. pylori Pl1 strain is a derivative of Pl, generated by transposon shuttle mutagenesis using the TnMax5 mini-transposon as disclosed in the invention of Haas et al. ("Verfahren zur Identifizierung sekretorischer Gene aus Helicobacter pylori"; PCT/EP96/02544). Insertion of TnMax5 has been mapped at the 3'-end of the ureA gene resulting in a defect expression of ureA and ureB due to transcriptional coupling of both genes.

Mouse-adapted H. pylori P49 strain was originally established by Dr. J. G. Fox (MIT, Boston, MA) from a feline isolate. H. pylori P76 strain is a streptomycin-resistant derivative of P49 generated by homologous recombination with chromosomal DNA from streptomycin-resistant H. pylori strain NCTC11637 as described by P. Nedenskov-Sorensen (1990, J. Infect. Dis. 161: 365-366).

All H. pylori strains were grown at 37°C in a microaerobic atmosphere (5% O_2 , 85% N_2 , and 10% CO_2) on serum plates (Odenbreit, S. et al. 1996. J. Bacteriol. 178:6960-6967) supplemented with 200 μ g/ml of streptomycin when appropriate.

Prophylactic immunization experiments with mice.

Immunization experiments were carried out to test the ability of UreA and B delivered by Salmonella to protect mice from stomach colonization by H. pylori. In total, 5 independent immunisation experiments have been performed. Each experiment consisted of 5 groups each with 5 mice: (1) naive control group was mice neither immunized with Salmonella nor challenged with wild type H. pylori P49 or the streptomycin resistant derivative strain P76; (2) PBS control group was non-immunized mice that received PBS and were challenged

orally with H. pylori; (3) Salmonella control group was mice immunized with attenuated S. typhimurium SL3261 strain alone and challenged with H. pylori; and (5) the vaccine group was the mice immunized with appropriate recombinant S. typhimurium construct (A + B) expressing UreA and UreB and challenged with H. pylori.

Prior to immunizations, mice were left overnight without solid food and 4 hours without water. 100 μ l of 3% sodium bicarbonate were given orally using a stainless steel catheter tube to neutralize the stomach pH. Immediately after stomach neutralization, mice from the PBS control group received 100 μ l PBS, and mice from the Salmonella control group and Salmonella vaccine group, received 5.0 X 10° CFU of S. typhimurium strain SL3261 and the various recombinant constructs, respectively, in a total volume of 100 μ l. Water and food were returned to the mice after immunization.

Four weeks after the oral immunization, mice from the PBS control-, Salmonella control- and vaccine-groups were challenged with 1.0X10° CFU of H. pylori. Mice were left overnight without solid food and without water 4 hours prior to the challenge. 100 µl of 3% sodium bicarbonate were given orally to mice using a stainless steel catheter tube, followed by an oral dose of 1.0 X 10° CFU/ml of H. pylori strains P49 or P76. Water and food were returned to mice after challenge.

Example 5

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Immunological analyses of protection experiments with the various recombinant *S. typhimurium* strains expressing ureA/ureB subunits in *H. pylori* mouse model

Collection of blood and intestinal fluid from mice for serological analyses.

Antibody responses were evaluated from all mice using serum and intestinal fluid. 150 μ l blood were collected retroorbitally before immunization and three weeks after immunization, before Helicobacter infection. The final bleeding was carried out 11 weeks after Salmonella

immunization (6 weeks after challenge infection) by terminal cardiac puncture under metoxyfluorane anesthesia. The small intestines were taken from mice at the end of experiment and processed as described before (Elson, C. O. et al 1984. J. 5 Immunol. Meth. 67:101-108) with minor modifications. Briefly, the content of intestines was removed by passing 2 ml of $50\,\mathrm{mM}$ EDTA pH 7.5 (Riedel) containing 0,1mg/ml Soybean trypsin inhibitor (Sigma). The volume was adjusted to 5 ml with 0.15 M $\,$ NaCl. The samples were vortexed vigorously, centrifuged 10 min 10 at 2,500 rpm (Heraeus, Germany), and supernatant supplemented with 50 μ l of 100 mM phenylmethylsulfonylfluoride (PMSF) 95% ethanol, followed by centrifugation 13,000rpm for 20 min at 4°C (Hermes). Supernatants were supplemented with 50 μl of 100 mM PMSF and 50 μl of 2% sodium 15 azide (Merck) and left on ice 15 min before addition of 250 $\mu 1$ of 7% bovine serum albumine (Biomol). The samples were frozen at -20°C until further use.

Analysis of anti-urease antibodies in mouse sera and intestinal mucosa by ELISA.

Oral immunization with Salmonella is known to elicit IgA antibody responses. The IgA response against urease subunits in mice immunized with S. typhimurium construct A + B and in control mice was assessed by ELISA. A soluble extract of H. pylori P1 and its urease-deficient mutant derivative strain 25 Pll was prepared in phosphate-buffer-saline by sonicating five times with a sonifier (Branson , Danbury, Conn.) at 5 sec intervals (35 % pulses) for 45 sec. This suspension was centrifuged at 13,000 rpm (Heraeus, Germany) for 10 min at 4°C to remove intact cells. The supernatant was used as antigen 30 after determination of the protein content using the BioRad kit. 96-well microtiter plates (Nunc, Germany) were coated with 50 μ l aliquot of 50 μ g/ml of antigen in sodium carbonatebicarbonate buffer pH 9.6 and incubated overnight at 4°C. The wells were blocked with 1.0 (w/v)% non-fat milk in Tris-35 buffer-saline (TBS) for 45 min at room temperature and washed three times with TBS-0.05% Tween-20. The assays, which were performed in triplicate, used 50 μ l of serum or gut washing

diluted 1:100 or 1:2 respectively in 0.5 (w/v)% non-fat milk-TBS added to the wells and left overnight at 4°C. The wells were then washed three times with TBS-0.05% Tween 20, and a 1:3000 dilution of a goat anti-mouse IgA horse-radish peroxidase-conjugate (Sigma) was added to all wells and incubated overnight at 4°C. The color reaction was developed by incubation at 37°C for 30 min with an orthophenylendiamine substrate in sodium acetate buffer and hydrogen peroxide. The reaction was stopped with 10 N H₂SO₄ and the A₄₉₂ was determined in an ELISA reader (Digiscan, Asys Hitech GmbH, Austria).

Mucosal antibodies: (Construct A) Intestinal fluid was taken from each sacrificed mouse at the end of the experiment (six weeks after the H. pylori challenge) and tested for the presence of anti-urease antibodies by using total cell extracts of H. pylori wild type (P1) and urease deficient mutant strains (P11). As shown in Fig. 4, the IgA antibody response against the wild type H. pylori extract was around 10-fold higher in immunized mice versus non-immunized or naive mice. The mucosal IgA antibody response against the urease-deficient H. pylori mutant was very low in all groups of mice indicating that most of the intestinal IgA antibody response in immunized mice was directed against urease.

Serum antibodies: (Construct A) The levels of serum IgA antibodies against a wild type and an urease-deficient H. 25 pylori were examined prior to immunization, 3 weeks after immunization (before challenge) and 10 immunization (6 weeks after challenge with H. pylori). As shown in Fig. 5 panel A, the levels of anti-wild type H. pylori antibodies in mice immunized with urease-expressing S. 30 typhimurium construct A were ~20-fold higher at three weeks and 34-fold higher ten weeks after immunization with respect to the pre-immune serum. The serum IgA antibody response against the urease-deficient H. pylori strain at 3 and 10 weeks was low in all groups of mice including the mice 35 immunized with Salmonella construct A (Fig. 5, panel B), indicating that most of the IgA antibody response in immunized mice is directed against the urease subunits. Low serum

antibody responses against wild type H. pylori were also observed at ten weeks in non-immunized mice suggesting that the H. pylori challenge given three weeks earlier was enough to induce a specific antibody response in these mice. The IgA 5 response to wild type H. pylori in mice immunized for three weeks with S. typhimurium SL3261 (Salmonella control group) increased moderately, which may be explained by the presence of antigens in Salmonella that are able to induce crossreacting antibodies against H. pylori. In contrast, the 10 antibody response against the urease-deficient H. pylori strain in immunized mice was as low as the antibody response of non-immunized mice (Fig 5, panel B). This result suggests that most of the antibody response observed in immunized mice was against urease. Low antibody response against the urease-15 negative mutant was observed in the 10 weeks sera from mice given PBS or immunized with S. typhimurium SL3261, suggesting that the antibody response observed is due to the specific immune response against the H. pylori antigens given to these mice three weeks earlier during challenge. A low antibody 20 response against the urease-deficient H. pylori strain was obsérved at three weeks in mice immunized with Salmonella either expressing or not expressing urease, but was absent in the mice given PBS. This confirms the presence of crossreacting epitopes between proteins from Salmonella and H. 25 pylori, respectively. (Construct B): The serological analysis of mice immunized with the construct B series achieved similar results indicating that higher production of antigen by Salmonella does not significantly recombinant antibody response.

Analysis of anti-urease antibodies in mouse sera by immunoblotting.

Expression of UreA and UreB from S. typhimurium necessary for the induction of mice specific immune response against H. pylori was analyzed. Identification of in vivo expression of UreA and UreB was carried out by looking for anti-UreA and anti-UreB antibodies in serum of mice immunized with Salmonella construct A and control mice. H. pylori whole-cell

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antigens were prepared from the wild type H. pylori strain Pl. Bacteria were recovered from 3 serum plates, resuspended in PBS, and harvested by 10 min centrifugation at 5,000 g. The cell pellet was resuspended in 10mM Tris-HCl and 10 mM EDTA, $_{\text{5}}$ (pH 8.0) and cell-density adjusted to standard $A_{\text{590}}\text{=}1.0$ in all probes. The bacterial suspension was mixed with same volume of SDS-sample buffer (Sambrook, 1989) and boiled for 5 min. 20 μ l Pellet were loaded onto a SDS-10% polyacrylamide gel. The proteins were electro-blotted onto a nitrocellulose membrane 10 and cut into strips which were blocked for 45 min at room temperature in 10 (v/w)% non-fat milk Tris-buffer-saline (TBS) (TrisHCl 100mM, NaCl 150mM, pH 7.2). After three washes in TBS-0,05 (v/v)% Tween-20, a 1:80 dilution of mouse serum in 5 (w/v)% non-fat milk-TBS was added to the strips and incubated 15 overnight at 4°C. Sera was obtained from mice non-immunized and immunized with Salmonella. After three washes, the strips were incubated with a goat anti-mouse IgG horse-radish peroxidase conjugate (Sigma) diluted 1:3000 in 5 (w/v)% non-fat milk-TBS. The ECL chemi-luminescence detection kit (Amersham, Germany) 20 was used for development of blots according to the supplier's directions.

Serum from immunized and non-immunized mice was obtained 3 weeks after immunization prior to the challenge with H. pylori and tested against whole-cell lysates of the wild type 25 H. pylori Pl strain expressing UreA and UreB. Proteins of 67 kDa and 30 kDa in size, corresponding to UreB and UreA, respectively, were recognized by serum from immunized mice immunized with construct A. These bands were not observed in strips tested with serum from non-immunized mice or mice immunized with Salmonella only, suggesting that urease expressed by the Salmonella vaccine strain was able to induce a specific antibody response against both UreA and UreB of a wild type H. pylori strain. Similar results were obtained with construct B.

Determination of *H. pylori* colonisation in mice pretreated with the various recombinant *S. typhimurium* strains expressing ureA/ureB subunits in *H. pylori* mouse model

Processing of stomach and measurement of urease activity.

Urease-test: Analysis of protection against stomach colonization by H. pylori was performed by testing for urease activity in the antral portion of the mouse Measurement of urease activity is a very reliable, sensitive and specific method to test for the presence of H. pylori 10 infection (NIH consensus development on Helicobacter pylori in peptic ulcer disease. 1994. Helicobacter pylori in peptic ulcer disease. JAMA. 272:65) and is routinely used in clinical settings (Kawanishi, M., S. et al 1995. J. Gastroenterol. 30:16-20; Kamija, S. et al 1993. Eur. J. Epidemiol. 9:450-452; 15 Conti-Nibali, S. et al 1990. Am. J. Gastroenterol. 85:1573-1575) and in animal research (Gottfried, M. R. et al 1990. Am. J. Gastroenterol. 85:813-818). The Jatrox-test (Röhn-Pharma Weiterstadt, Germany) was used according to the suppliers directions. Eleven weeks after immunization with 20 Salmonella, mice were sacrificed and the stomach was carefully removed under aseptic conditions. The stomach was placed in ice-cold PBS in an sterile container, and the mucosa was exposed by making an incision along the minor curvature with a sterile blade. The stomach was rinsed with PBS to remove food 25 residues and dissected to isolate the antral region from the corpus region. Half of the antral portion of the stomach was immediately placed inside an Eppendorf tube containing 500 μ l of the urease substrate from Jatrox-test. The stomach sample was incubated 4 h at room temperature and the absorbance at $_{\rm 30}$ 550 nm $(A_{\rm 550})$ measured. The urease activity values obtained from the stomach of naive mice, which did not undergo immunization or challenge, were used to determine the baseline. The baseline corresponded to the average urease activity value from five naive mice stomachs tested plus two times the 35 standard deviation of this average. Urease activity values than the baseline were considered F. colonization positive and values below the baseline were

considered H. pylori colonization negative.

Cultivation experiment: The left portion of the antral region of stomachs obtained from mice challenged with the streptomycin resistant *H. pylori* strain P76 were plated on serum plates supplemented with 200 μg/ml of streptomycin and incubated under standard conditions. After three days incubation, bacteria were identified as *H. pylori* based on colony morphology, microscopic examination, and urease activity. The number of colony forming units (CFU) of *H. pylori* grown on plates was determined from each mouse stomach sample.

Urease test (Construct A vs. B): Mice immunized with ~5.0X10° CFU of Salmonella and challenged with 1.0X10° CFU of H. pylori strain P49, as well as control mice, were sacrificed 15 under anesthesia and a section of the antral region of the stomach was taken for measurement of urease activity. As shown in Fig. 6, 100% of the mice immunized with UreA and B delivered by Salmonella construct A had urease activity below indicating the absence of baseline, H.20 colonisation. In contrast, 100 % of the non-immunized mice (PBS) and the mice immunized with S. typhimurium strain SL3261 alone, had urease activity measurements far above the baseline indicating stomach colonization by H. pylori. The naive group of mice, which did not undergo immunization or challenge, was 25 used to set the baseline of urease activity.

Salmonella of the construct B-series had urease activity values above the baseline indicating stomach colonization by H. pylori challenge strain. However, the urease activities within this group were lower as in the controls suggesting a partial protection status of mice immunized with the Salmonella construct B series (Figure 6). Both Salmonella constructs, A and B, mediate similar antibody response but differed in expression of ureA and ureB. We conclude from this that the quantity of expressed urease antigen is relevant to gain optimal protection.

Construct A: To correlate stomach colonization by H. pylori with urease activity a new protection experiment was

performed by immunizing mice orally with Salmonella construct A and challenging them with the streptomycin resistant H. pylori P76 strain. Urease activity values correlated with the number of CFU of H. pylori identified. In two of the five mice immunized with urease-expressing Salmonella, no H. pylori CFU were detected and the average number of CFU in all five immunized mice was only 62. In contrast, the number of CFU in non-immunized mice was 2,737, which corresponds to 44-fold higher colonization. These data indicate that mice immunized with urease-expressing Salmonella were able to eliminate or significantly decrease colonizing H. pylori from mouse stomachs.

SEQUENCE LISTING

- (1) GENERAL INFORMATION:
 - (i) APPLICANT:
 - (A) NAME: Max-Planck-Gesellschaft zur Foerderung der Wissenschaften e.V. Berlin
 - (B) STREET: Hofgartenstr. 2
 - (C) CITY: Muenchen
 - (E) COUNTRY: Germany
 - (F) POSTAL CODE (ZIP): 80539
 - (ii) TITLE OF INVENTION: Helicobacter pylori live vaccine
 - (iii) NUMBER OF SEQUENCES: 6
 - (iv) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk
 - (B) COMPUTER: IBM PC compatible
 - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)
- (2) INFORMATION FOR SEQ ID NO: 1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 1557 base pairs

 - (B) TYPE: nucleic acid (C) STRANDEDNESS: both
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA (genomic)
 - (vi) ORIGINAL SOURCE:
 - (A) ORGANISM: Helicobacter pylori
 - (vii) IMMEDIATE SOURCE:
 - (B) CLONE: alpB
 - (ix) FEATURE:
 - (A) NAME/KEY: CDS
 - (B) LOCATION:1..1554
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
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- Met Thr Gln Ser Gln Lys Val Arg Phe Leu Ala Pro Leu Ser Leu Ala 5 10 15
- TTA AGC TTG AGC TTC AAT CCA GTG GGC GCT GAA GAA GAT GGG GGC TTT

96 Leu Ser Leu Ser Phe Asn Pro Val Gly Ala Glu Glu Asp Gly Phe ATG ACC TTT GGG TAT GAA TTA GGT CAG GTG GTC CAA CAA GTG AAA AAC 144 Met Thr Phe Gly Tyr Glu Leu Gly Gln Val Val Gln Gln Val Lys Asn CCG GGT AAA ATC AAA GCC GAA GAA TTA GCC GGC TTG TTA AAC TCT ACC Pro Gly Lys Ile Lys Ala Glu Glu Leu Ala Gly Leu Leu Asn Ser Thr ACA ACA AAC AAC ACC AAT ATC AAT ATT GCA GGC ACA GGA GGC AAT GTC Thr Thr Asn Asn Thr Asn Ile Asn Ile Ala Gly Thr Gly Gly Asn Val GCC GGG ACT TTG GGC AAC CTT TTT ATG AAC CAA TTA GGC AAT TTG ATT Ala Gly Thr Leu Gly Asn Leu Phe Met Asn Gln Leu Gly Asn Leu Ile 90 GAT TTG TAT CCC ACT TTG AAC ACT AGT AAT ATC ACA CAA TGT GGC ACT 336 Asp Leu Tyr Pro Thr Leu Asn Thr Ser Asn Ile Thr Gln Cys Gly Thr ACT AAT AGT GGT AGT AGT AGT GGT GGT GCG GCC ACA GCC GCT 384 Thr Asn Ser Gly Ser Ser Ser Gly Gly Gly Ala Ala Thr Ala Ala 115 120 125 GCT ACT ACT AGC AAT AAG CCT TGT TTC CAA GGT AAC CTG GAT CTT TAT Ala Thr Thr Ser Asn Lys Pro Cys Phe Gln Gly Asn Leu Asp Leu Tyr 130 135 AGA AAA ATG GTT GAC TCT ATC AAA ACT TTG AGT CAA AAC ATC AGC AAG Arg Lys Met Val Asp Ser Ile Lys Thr Leu Ser Gln Asn Ile Ser Lys AAT ATC TTT CAA GGC AAC AAC ACC ACG AGC CAA AAT CTC TCC AAC Asn Ile Phe Gln Gly Asn Asn Asn Thr Thr Ser Gln Asn Leu Ser Asn 165 175 CAG CTC AGT GAG CTT AAC ACC GCT AGC GTT TAT TTG ACT TAC ATG AAC 576 Gln Leu Ser Glu Leu Asn Thr Ala Ser Val Tyr Leu Thr Tyr Met Asn 180 185

TCG TTC TTA AAC GCC AAT AAC CAA GCG GGT GGG ATT TTT CAA AAC AAC Ser Phe Leu Asn Ala Asn Asn Gln Ala Gly Gly Ile Phe Gln Asn Asn ACT AAT CAA GCT TAT GGA AAT GGG GTT ACC GCT CAA CAA ATC GCT TAT Thr Asn Gln Ala Tyr Gly Asn Gly Val Thr Ala Gln Gln Ile Ala Tyr 210 ATC CTA AAG CAA GCT TCA ATC ACT ATG GGG CCA AGC GGT GAT AGC GGT Ile Leu Lys Gln Ala Ser Ile Thr Met Gly Pro Ser Gly Asp Ser Gly 230 235 240 GCT GCC GCA GCG TTT TTG GAT GCC GCT TTA GCG CAA CAT GTT TTC AAC Ala Ala Ala Phe Leu Asp Ala Ala Leu Ala Gln His Val Phe Asn TCC GCT AAC GCC GGG AAC GAT TTG AGC GCT AAG GAA TTC ACT AGC TTG 816 Ser Ala Asn Ala Gly Asn Asp Leu Ser Ala Lys Glu Phe Thr Ser Leu 260 265 GTG CAA AAT ATC GTC AAT AAT TCT CAA AAC GCT TTA ACG CTA GCC AAC Val Gln Asn Ile Val Asn Asn Ser Gln Asn Ala Leu Thr Leu Ala Asn 280 285 AAC GCT AAC ATC AGC AAT TCA ACA GGC TAT CAA GTG AGC TAT GGC GGG Asn Ala Asn Ile Ser Asn Ser Thr Gly Tyr Gln Val Ser Tyr Gly Gly 295 AAT ATT GAT CAA GCG CGA TCT ACC CAA CTA TTA AAC AAC ACC ACA AAC Asn Ilé Asp Gln Ala Arg Ser Thr Gln Leu Leu Asn Asn Thr Thr Asn 315 320 ACT TTG GCT AAA GTT AGC GCT TTG AAT AAC GAG CTT AAA GCT AAC CCA 1008 Thr Leu Ala Lys Val Ser Ala Leu Asn Asn Glu Leu Lys Ala Asn Pro 330 TGG CTT GGG AAT TTT GCC GCC GGT AAC AGC TCT CAA GTG AAT GCG TTT 1056 Trp Leu Gly Asn Phe Ala Ala Gly Asn Ser Ser Gln Val Asn Ala Phe 340 345 AAC GGG TTT ATC ACT AAA ATC GGT TAC AAG CAA TTC TTT GGG GAA AAC 1104 Asn Gly Phe Ile Thr Lys Ile Gly Tyr Lys Gln Phe Phe Gly Glu Asn 355 360

365

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- (2) INFORMATION FOR SEQ ID NO: 2:
 - (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 518 amino acids
- (B) TYPE: amino acid(D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:
- Met Thr Gln Ser Gln Lys Val Arg Phe Leu Ala Pro Leu Ser Leu Ala
 Leu Ser Leu Ser Phe Asn Pro Val Gly Ala Glu Glu Asp Gly Gly Phe
 Met Thr Phe Gly Tyr Glu Leu Gly Gln Val Val Gln Gln Gln Val Lys Asn
 Pro Gly Lys Ile Lys Ala Glu Glu Leu Asn Gly Gln Leu Gly Thr
 Thr Thr Asn Asn Thr Asn Ile Asn Ile Asn Ile Asn Gly Thr Gly Asn Leu Ile
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- Asp Leu Tyr Pro Thr Leu Asn Thr Ser Asn Ile Thr Gln Cys Gly Thr 100 105 110
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- Ala Thr Thr Ser Asn Lys Pro Cys Phe Gln Gly Asn Leu Asp Leu Tyr 130 135
- Arg Lys Met Val Asp Ser Ile Lys Thr Leu Ser Gln Asn Ile Ser Lys 145 150 155 160
- Asn Ile Phe Gln Gly Asn Asn Asn Thr Thr Ser Gln Asn Leu Ser Asn 165 170 175
- Gln Leu Ser Glu Leu Asn Thr Ala Ser Val Tyr Leu Thr Tyr Met Asn 180 185 190
- Ser Phe Leu Asn Ala Asn Asn Gln Ala Gly Gly Ile Phe Gln Asn Asn 195 200 205
- Thr Asn Gln Ala Tyr Gly Asn Gly Val Thr Ala Gln Gln Ile Ala Tyr 210 215 220
- Ile Leu Lys Gln Ala Ser Ile Thr Met Gly Pro Ser Gly Asp Ser Gly 235 240
- Ala Ala Ala Phe Leu Asp Ala Ala Leu Ala Gln His Val Phe Asn 245 250 255

Ser Ala Asn Ala Gly Asn Asp Leu Ser Ala Lys Glu Phe Thr Ser Leu 265 Val Gln Asn Ile Val Asn Asn Ser Gln Asn Ala Leu Thr Leu Ala Asn Asn Ala Asn Ile Ser Asn Ser Thr Gly Tyr Gln Val Ser Tyr Gly Gly 290 Asn Ile Asp Gln Ala Arg Ser Thr Gln Leu Leu Asn Asn Thr Thr Asn 315 Thr Leu Ala Lys Val Ser Ala Leu Asn Asn Glu Leu Lys Ala Asn Pro 325 Trp Leu Gly Asn Phe Ala Ala Gly Asn Ser Ser Gln Val Asn Ala Phe Asn Gly Phe Ile Thr Lys Ile Gly Tyr Lys Gln Phe Phe Gly Glu Asn Lys Asn Val Gly Leu Arg Tyr Tyr Gly Phe Phe Ser Tyr Asn Gly Ala Gly Val Gly Asn Gly Pro Thr Tyr Asn Gln Val Asn Leu Leu Thr Tyr 395 Gly Val Gly Thr Asp Val Leu Tyr Asn Val Phe Ser Arg Ser Phe Gly Ser Arg Ser Leu Asn Ala Gly Phe Phe Gly Gly Ile Gln Leu Ala Gly 425 Asp Thr Tyr Ile Ser Thr Leu Arg Asn Ser Ser Gln Leu Ala Ser Arg 435 440 Pro Thr Ala Thr Lys Phe Gln Phe Leu Phe Asp Val Gly Leu Arg Met Asn Phe Gly Ile Leu Lys Lys Asp Leu Lys Ser His Asn Gln His Ser Ile Glu Ile Gly Val Gln Ile Pro Thr Ile Tyr Asn Thr Tyr Tyr Lys 490 Ala Gly Gly Ala Glu Val Lys Tyr Phe Arg Pro Tyr Ser Val Tyr Trp 505 Val Tyr Gly Tyr Ala Phe 515

- (2) INFORMATION FOR SEQ ID NO: 3:
 - (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1557 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: both
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (vi) ORIGINAL SOURCE:
 - (A) ORGANISM: Helicobacter pylori
- (vii) IMMEDIATE SOURCE:
 - (B) CLONE: alpA
 - (ix) FEATURE:
 - (A) NAME/KEY: CDS
 - (B) LOCATION: 1.. 1554
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:
- ATG ATA AAA AAG AAT AGA ACG CTG TTT CTT AGT CTA GCC CTT TGC GCT 48
- Met Ile Lys Lys Asn Arg Thr Leu Phe Leu Ser Leu Ala Leu Cys Ala 520 530
- AGC ATA AGT TAT GCC GAA GAT GAT GGA GGG TTT TTC ACC GTC GGT TAT
- Ser Ile Ser Tyr Ala Glu Asp Asp Gly Gly Phe Phe Thr Val Gly Tyr 535 540 545 550
- CAG CTC GGG CAA GTC ATG CAA GAT GTC CAA AAC CCA GGC GGC GCT AAA
- Gln Leu Gly Gln Val Met Gln Asp Val Gln Asn Pro Gly Gly Ala Lys 555 560 565
- AGC GAC GAA CTC GCC AGA GAG CTT AAC GCT GAT GTA ACG AAC AAT 192
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- TTA AAC AAC ACC GGA GGC AAC ATC GCA GGG GCG TTG AGT AAC GCT 240
- Leu Asn Asn Asn Thr Gly Gly Asn Ile Ala Gly Ala Leu Ser Asn Ala 585 590 595
- TTC TCC CAA TAC CTT TAT TCG CTT TTA GGG GCT TAC CCC ACA AAA CTC 288
- Phe Ser Gln Tyr Leu Tyr Ser Leu Leu Gly Ala Tyr Pro Thr Lys Leu 600 605 610
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GTT ATT AGC GCT GGG ATA AAC TCC AAC CAA GCT AAC GCT GTG CAA GGG Val Ile Ser Ala Gly Ile Asn Ser Asn Gln Ala Asn Ala Val Gln Gly 810 815 CGC GCT AGT CAG CTC CCT AAC GCT CTT TAT AAC GCG CAA GTA ACT TTG Arg Ala Ser Gln Leu Pro Asn Ala Leu Tyr Asn Ala Gln Val Thr Leu GAT AAA ATC AAT GCG CTC AAT AAT CAA GTG AGA AGC ATG CCT TAC TTG Asp Lys Ile Asn Ala Leu Asn Asn Gln Val Arg Ser Met Pro Tyr Leu 845 840 CCC CAA TTC AGA GCC GGG AAC AGC CGT TCA ACG AAT ATT TTA AAC GGG Pro Gln Phe Arg Ala Gly Asn Ser Arg Ser Thr Asn Ile Leu Asn Gly 865 TTT TAC ACC AAA ATA GGC TAT AAG CAA TTC TTC GGG AAG AAA AGG AAT 1104 Phe Tyr Thr Lys Ile Gly Tyr Lys Gln Phe Phe Gly Lys Lys Arg Asn 875 ATC GGT TTG CGC TAT TAT GGT TTC TTT TCT TAT AAC GGA GCG AGC GTG 1152 Ile Gly Leu Arg Tyr Tyr Gly Phe Phe Ser Tyr Asn Gly Ala Ser Val 890 GGC TTT AGA TCC ACT CAA AAT AAT GTA GGG TTA TAC ACT TAT GGG GTG 1200 Gly Phe Arg Ser Thr Gln Asn Asn Val Gly Leu Tyr Thr Tyr Gly Val 905 910 915 GGG ACT GAT GTG TTG TAT AAC ATC TTT AGC CGC TCC TAT CAA AAC CGC 1248 Gly Thr Asp Val Leu Tyr Asn Ile Phe Ser Arg Ser Tyr Gln Asn Arg 920 TCT GTG GAT ATG GGC TTT TTT AGC GGT ATC CAA TTA GCC GGT GAG ACC Ser Val Asp Met Gly Phe Phe Ser Gly Ile Gln Leu Ala Gly Glu Thr 935 940 945 950 TTC CAA TCC ACG CTC AGA GAT GAC CCC AAT GTG AAA TTG CAT GGG AAA Phe Gln Ser Thr Leu Arg Asp Asp Pro Asn Val Lys Leu His Gly Lys ATC AAT AAC ACG CAC TTC CAG TTC CTC TTT GAC TTC GGT ATG AGG ATG 1392 Ile Asn Asn Thr His Phe Gln Phe Leu Phe Asp Phe Gly Met Arg Met 970 980

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Asn Phe Gly Lys Leu Asp Gly Lys Ser Asn Arg His Asn Gln His Thr 985 990 995

GTG GAA TTT GGC GTA GTG GTG CCT ACG ATT TAT AAC ACT TAT TAC AAA 1488

Val Glu Phe Gly Val Val Pro Thr Ile Tyr Asn Thr Tyr Tyr Lys 1000 1005 1010

TCA GCA GGG ACT ACC GTG AAG TAT TTC CGT CCT TAT AGC GTT TAT TGG 1536

Ser Ala Gly Thr Thr Val Lys Tyr Phe Arg Pro Tyr Ser Val Tyr Trp 1015 1020 1025 1030

TCT TAT GGG TAT TCA TTC TAA 1557 Ser Tyr Gly Tyr Ser Phe 1035

(2) INFORMATION FOR SEQ ID NO: 4:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 518 amino acids
 - (B) TYPE: amino acid
 - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

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Gln Leu Gly Gln Val Met Gln Asp Val Gln Asn Pro Gly Gly Ala Lys 35 40 45

Ser Asp Glu Leu Ala Arg Glu Leu Asn Ala Asp Val Thr Asn Asn Ile 50 55 60

Leu Asn Asn Asn Thr Gly Gly Asn Ile Ala Gly Ala Leu Ser Asn Ala 65 70 75 80

Phe Ser Gln Tyr Leu Tyr Ser Leu Leu Gly Ala Tyr Pro Thr Lys Leu 85 90 95

Asn Gly Ser Asp Val Ser Ala Asn Ala Leu Leu Ser Gly Ala Val Gly
100 105 110

Ser Gly Thr Cys Ala Ala Ala Gly Thr Ala Gly Gly Thr Ser Leu Asn 115 120 125

Thr Gln Ser Thr Cys Thr Val Ala Gly Tyr Tyr Trp Leu Pro Ser Leu 135 Thr Asp Arg Ile Leu Ser Thr Ile Gly Ser Gln Thr Asn Tyr Gly Thr Asn Thr Asn Phe Pro Asn Met Gln Gln Leu Thr Tyr Leu Asn Ala Gly Asn Val Phe Phe Asn Ala Met Asn Lys Ala Leu Glu Asn Lys Asn 185 Gly Thr Ser Ser Ala Ser Gly Thr Ser Gly Ala Thr Gly Ser Asp Gly 195 Gln Thr Tyr Ser Thr Gln Ala Ile Gln Tyr Leu Gln Gly Gln Gln Asn Ile Leu Asn Asn Ala Ala Asn Leu Leu Lys Gln Asp Glu Leu Leu 235 Glu Ala Phe Asn Ser Ala Val Ala Ala Asn Ile Gly Asn Lys Glu Phe 245 250 Asn Ser Ala Ala Phe Thr Gly Leu Val Gln Gly Ile Ile Asp Gln Ser Gln Ala Val Tyr Asn Glu Leu Thr Lys Asn Thr Ile Ser Gly Ser Ala 275 Val Ile Ser Ala Gly Ile Asn Ser Asn Gln Ala Asn Ala Val Gln Gly 300 Arg Ala Ser Gln Leu Pro Asn Ala Leu Tyr Asn Ala Gln Val Thr Leu 305 310 Asp Lys Ile Asn Ala Leu Asn Asn Gln Val Arg Ser Met Pro Tyr Leu 330 Pro Gln Phe Arg Ala Gly Asn Ser Arg Ser Thr Asn Ile Leu Asn Gly Phe Tyr Thr Lys Ile Gly Tyr Lys Gln Phe Phe Gly Lys Lys Arg Asn Ile Gly Leu Arg Tyr Tyr Gly Phe Phe Ser Tyr Asn Gly Ala Ser Val 375 Gly Phe Arg Ser Thr Gln Asn Asn Val Gly Leu Tyr Thr Tyr Gly Val 385 Gly Thr Asp Val Leu Tyr Asn Ile Phe Ser Arg Ser Tyr Gln Asn Arg 405 410 415

- Ser Val Asp Met Gly Phe Phe Ser Gly Ile Gln Leu Ala Gly Glu Thr 420 425 430
- Phe Gln Ser Thr Leu Arg Asp Asp Pro Asn Val Lys Leu His Gly Lys 435
- Ile Asn Asn Thr His Phe Gln Phe Leu Phe Asp Phe Gly Met Arg Met 450 455 460
- Asn Phe Gly Lys Leu Asp Gly Lys Ser Asn Arg His Asn Gln His Thr 465 470 475 480
- Val Glu Phe Gly Val Val Pro Thr Ile Tyr Asn Thr Tyr Tyr Lys 485 490 495
- Ser Ala Gly Thr Thr Val Lys Tyr Phe Arg Pro Tyr Ser Val Tyr Trp 500 505 510
- Ser Tyr Gly Tyr Ser Phe 515
- (2) INFORMATION FOR SEQ ID NO: 5:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 656 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: double
 - (D) TOPOLOGY: both
 - (ix) FEATURE:
 - (A) NAME/KEY: CDS
 - (B) LOCATION: 567..656
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:
- AGATCTATGA ATCTATGATA TCAACACTCT TTTTGATAAA TTTTTCTCGAG GTACCGAGCT 60
- TGAGGCATCA AATAAAACGA AAGGCTCAGT CGAAAGACTG GGCCTTTCGT TTTATCTGTT 120
- GTTTGTCGGT GAACGCTCTC CTGAGTAGGA CAAATCCGCC GGGAGCGGAT TTGAACGTTG
- CGAAGCAACG GCCCGGAGGG TGGCGGGCAG GACGCCCGCC ATAAACTGCC ACAAGCTCGG 240
- TACCGTTGAT CTTCCTATGG TGCACTCTCA GTACAATCTG CTCTGATGCG CTACGTGACT 300
- GGGTCATGGC TGCGCCCGA CACCCGCCAA CACCCGCTGA CGCGCCCTGA CGGGCTTGTC

360

- TGCTCCCGGC ATCCGCTTAC AGACAAGCTG TGACCGTCTC CGGGAGCTGC ATGTGTCAGA 420
- GGTTTTCACC GTCATCACCG AAACGCGCGA GGCCCAGCGC TTCGAACTTC TGATAGACTT 480
- CGAAATTAAT ACGACTCACT ATAGGGAGAC CACAACGGTT TCCCTCTAGA AATAATTTTG 540
- TTTAACTTTA AGAAGGAGAT ATACAT ATG AAA CTG ACT CCC AAA GAG TTA GAC 593

 Met Lys Leu Thr Pro Lys Glu Leu Asp

520 525

AAG TTG ATG CTC CAC TAC GCT GGA GAA TTG GCT AAA AAA CGC AAA GAA 641 Lys Leu Met Leu His Tyr Ala Gly Glu Leu Ala Lys Lys Arg Lys Glu 535

AAA GGC ATT AAG CTT 656 Lys Gly Ile Lys Leu 545

- (2) INFORMATION FOR SEQ ID NO: 6:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 30 amino acids
 - (B) TYPE: amino acid
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: protein
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

Met Lys Leu Thr Pro Lys Glu Leu Asp Lys Leu Met Leu His Tyr Ala 1 5 10 15

Gly Glu Leu Ala Lys Lys Arg Lys Glu Lys Gly Ile Lys Leu 20 25 30

Patent Claims

1. A recombinant attenuated microbial pathogen, which comprises at least one heterologous nucleic acid molecule encoding a Helicobacter antigen, wherein said pathogen is capable to express said nucleic acid molecule or capable to cause the expression of said nucleic acid molecule in a target cell.

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- 2. The pathogen according to claim 1, which is an enterobacterial cell, especially a Salmonella cell.
- 3. The pathogen according to claim 1 or 2, which is a Salmonella aro mutant cell.
 - 4. The pathogen according to any of claims 1-3, wherein the Helicobacter antigen is urease, a urease subunit, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
 - 5. The pathogen according to any one of claims 1-3, wherein the Helicobacter antigen is a secretory polypeptide from Helicobacter, an immunologically reactive fragment thereof, or a peptide mimotope thereof.
 - 6. The pathogen according to any one of claims 1-3 and 5, wherein the Helicobacter antigen is selected from the group consisting of the antigens AlpA, AlpB, immunologically reactive fragments thereof, or a peptide mimotope thereof.
 - 7. The pathogen according to any one of claims 1-6, wherein said nucleic acid molecule encoding a Helicobacter antigen is capable to be expressed phase variably.
 - 8. The pathogen according to claim 7,

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wherein said nucleic acid molecule encoding the Helicobacter antigen is under control of an expression signal which is substantially inactive in the pathogen and which is capable to be activated by a nucleic acid reorganization caused by a nucleic acid reorganization caused by a nucleic acid reorganization mechanism in the pathogen.

- 9. The pathogen according to claim 8,
 wherein the expression signal is a bacteriophage promoter, and the activation is caused by a DNA reorganization
 resulting in the production of a corresponding bacteriophage RNA polymerase in the pathogen.
- 10. The pathogen according to any one of claims 1-9, further comprising at least one second nucleic acid molecule encoding an immunomodulatory polypeptide, wherein said pathogen is capable to express said second nucleic acid molecule.
- 20 11. Pharmaceutical composition comprising as an active agent a recombinant attenuated pathogen according to any one of claims 1-10, optionally together with pharmaceutically acceptable diluents, carriers and adjuvants.
- 25 12. Composition according to claim 11, which is a living vaccine, which is suitable for administration to a mucosal surface or via the parenteral route.
- 13. A method for the preparation of a living vaccine comprising formulating an attenuated pathogen according to any
 one of claims 1-10 in a pharmaceutically effective amount
 with pharmaceutically acceptable diluents, carriers
 and/or adjuvants.
- 35 14. A method for preparing a recombinant attenuated pathogen according to any one of claims 1-10, comprising the steps:

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- a) inserting a nucleic acid molecule encoding a Helicobacter antigen into an attenuated pathogen, wherein
 a recombinant attenuated pathogen is obtained, which
 is capable of expressing said nucleic acid molecule
 or is capable to cause expression of said nucleic
 acid molecule in a target cell, and
- b) cultivating said recombinant attenuated pathogen under suitable conditions.
- 15. The method according to claim 14, wherein said nucleic acid molecule encoding a Helicobacter antigen is located on an extrachromosomal plasmid or inserted in the chromosome.

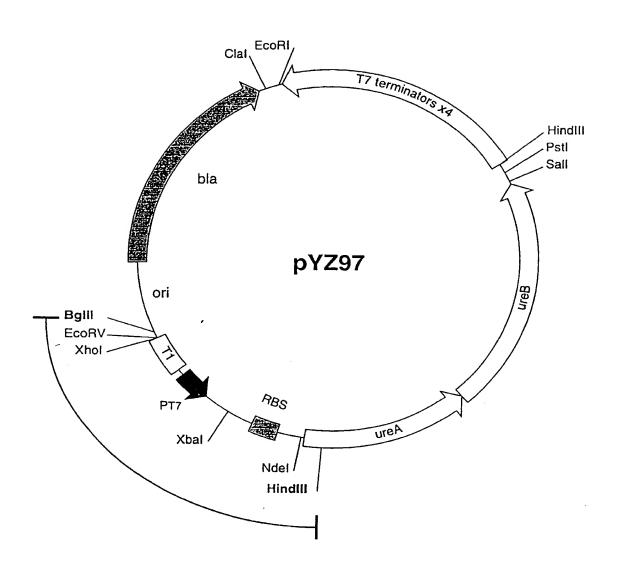
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- 16. A method for identifying Helicobacter antigens, which raise a protective immune response in a mammalian host, comprising the steps of:
- a) providing an expression gene bank of Helicobacter in an attenuated pathogen and
- b) screening the clones of the gene bank for their ability to confer protective immunity against a Helicobacter infection in a mammalian host.

Figure 1
Genetic map of the expression plasmid pYZ97

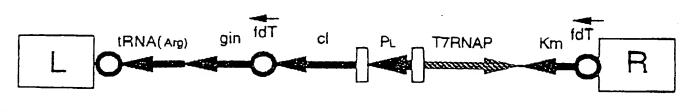


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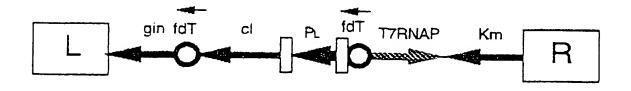
Figure 2 Nucleotide sequence of the transcriptional regulators for urease expression on plasmid pYZ97

1 AG ATC TAT GAA TCT ATG ATA TCA ACA CTC TTT TTG ATA AAT TTT CTC GAG GTA CCG AGC rrnB T1 60 TTG AGG CAT CAA ATA AAA CGA AAG GCT CAG TCG AAA GAC TGG GCC TTT CGT TTT ATC TGT 120 TGT TTG TCG GTG AAC GCT CTC CTG AGT AGG ACA AAT CCG CCG GGA GCG GAT TTG AAC GTT -35 180 GCG AAG CAA CGG CCC GGA GGG TGG CGG GCA GGA CGC CCG CCA TAA ACT GCC ACA AGC TCG 240 GTA CCG TTG ATC TTC CTA TGG TGC ACT CTC AGT ACA ATC TGC TCT GAT GCG CTA CGT GAC 300 TGG GTC ATG GCT GCG CCC CGA CAC CCG CCA ACA CCC GCT GAC GCG CCC TGA CGG GCT TGT 360 CTG CTC CCG GCA TCC GCT TAC AGA CAA GCT GTG ACC GTC TCC GGG AGC TGC ATG TGT CAG 420 AGG TTT TCA CCG TCA TCA CCG AAA CGC GCG AGG CCC AGC GCT TCG AAC TTC TGA TAG ACT 480 TCG AAA TTA ATA CGA CTC ACT ATA GGG AGA CCA CAA CGG TTT CCC TCT AGA AAT AAT TTT down stream box **RBS** YZ019 540 GTT TAA CTT TAA GAA GGA GAT ATA CAT ATG AAA CTG ACT CCC AAA GAG TTA GAC AAG TTG Met Lys Leu Thr Pro Lys Glu Leu Asp Lys Leu 600 ATG CTC CAC TAC GCT GGA GAA TTG GCT AAA AAA CGC AAA GAA AAA GGC ATT AAG CTT Met Leu His Tyr Ala Gly Glu Leu Ala Lys Lys Arg Lys Glu Lys Gly Ile Lys Leu

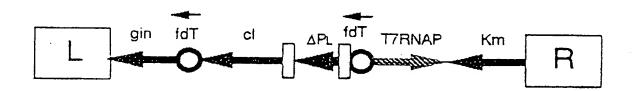
Figure 3



pYZ88 (high expression)



pYZ84 (medium expression)

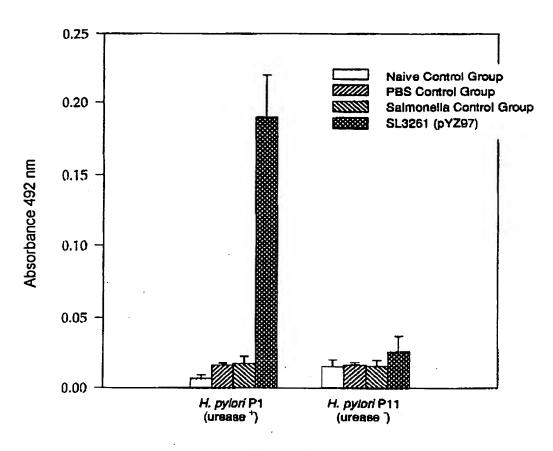


pYZ114 (low expression)

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Figure 4

ELISA for anti-H. pylori IgA antibodies in intestinal fluids of vaccinated mice



Test Antigen

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Figure 5

ELISA for anti-H. pylori IgA antibodies in serum of vaccinated mice

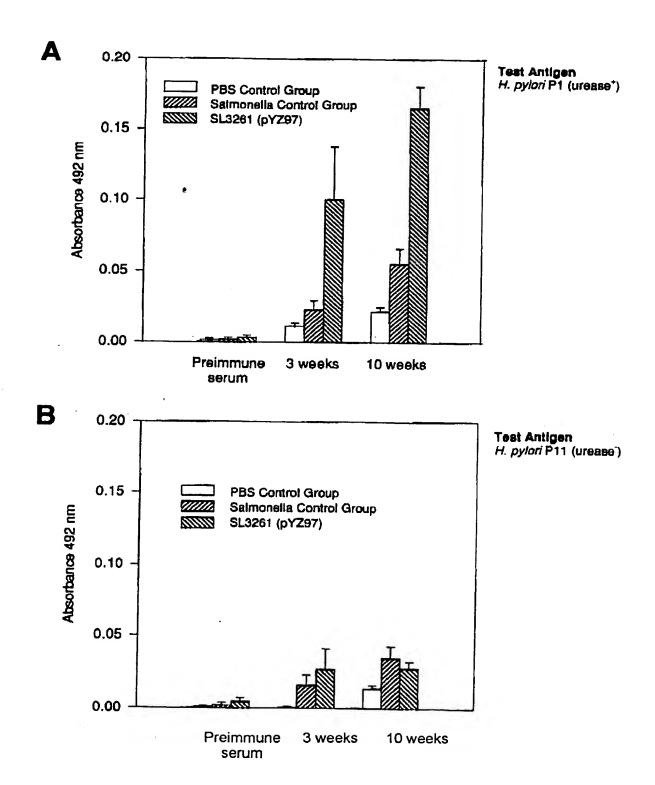
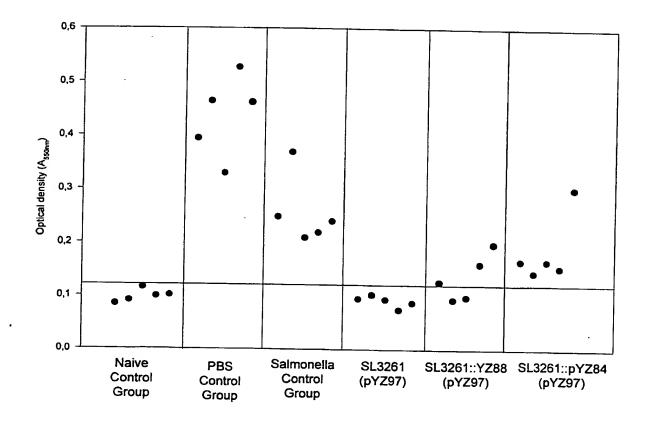


Figure 6
Urease activity in stomach tissue of vaccinated mice after *H. pylori* challenge.





Inter. .ional Application No PCT/EP 97/04744

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C07K14/205 C07K16/12

A61K39/112

C12N15/10

C12N15/31

C12N15/74

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED	TO BE RELEVANT

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X	WO 93 07273 A (INSTITUT PASTEUR) 15 April 1993 see page 3 - page 4 see page 24 - page 25 	1,4

X	Further documents are listed in the	continuation of box C.
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Х Patent family members are listed in annex.

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Date of the actual completion of the international search

Date of mailing of the international search report

12 January 1998

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De Kok, A





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4	see page 23, line 16 - page 25, line 29 see page 26, line 15 - line 25	2,10-16
γ	WO 94 26901 A (INSTITUT PASTEUR) 24	1
	November 1994 see page 3, paragraph 2 - page 4	4,10
A	• • •	4,10
Α	WO 95 02048 A (THE GENERAL HOSPITAL CORPORATION) 19 January 1995 see page 3 - page 17 see claims 92,101,102,105	1-3,7
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